

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**Open to Public  
Inspection**A** For the 2013 calendar year, or tax year beginning

07/01, 2013, and ending

06/30, 2014

**B** Check if applicable:

- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

**C** Name of organization

HUMAN RIGHTS WATCH, INC.

Doing Business As WATCH COMMITTEE

Number and street (or P.O. box if mail is not delivered to street address)

350 FIFTH AVENUE, 34TH FLOOR

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10118

**F** Name and address of principal officer:

KENNETH ROTH,

350 FIFTH AVENUE, 34TH FLOOR, NEW YORK, NY 10118

**D** Employer identification number

13-2875808

**E** Telephone number

(212) 290-4700

**G** Gross receipts \$ 87,244,640.**H(a)** Is this a group return for subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status:☒ 501(c)(3)☐ 501(c) ( )

( ) (insert no.)

4947(a)(1) or

☐ 527**J** Website: ▶ WWW.HRW.ORG**K** Form of organization:☒ Corporation☐ Trust☐ Association☐ Other ▶**L** Year of formation: 1976**M** State of legal domicile: NY**Part I Summary**

|                         |                                                                                                                                                                                |                                                                                    |                                |              |
|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|--------------------------------|--------------|
| Activities & Governance | 1 Briefly describe the organization's mission or most significant activities: HUMAN RIGHTS WATCH, INC. IS DEDICATED TO PROTECTING THE HUMAN RIGHTS OF PEOPLE AROUND THE WORLD. |                                                                                    |                                |              |
|                         | 2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.                                      |                                                                                    |                                |              |
|                         | 3                                                                                                                                                                              | Number of voting members of the governing body (Part VI, line 1a)                  | 34.                            |              |
|                         | 4                                                                                                                                                                              | Number of independent voting members of the governing body (Part VI, line 1b)      | 34.                            |              |
|                         | 5                                                                                                                                                                              | Total number of individuals employed in calendar year 2013 (Part V, line 2a)       | 990.                           |              |
|                         | 6                                                                                                                                                                              | Total number of volunteers (estimate if necessary)                                 | 425.                           |              |
|                         | 7a                                                                                                                                                                             | Total unrelated business revenue from Part VIII, column (C), line 12               | 57,786.                        |              |
| 7b                      | Net unrelated business taxable income from Form 990-T, line 34                                                                                                                 | 52,786.                                                                            |                                |              |
| Revenue                 | 8                                                                                                                                                                              | Contributions and grants (Part VIII, line 1h)                                      | 52,730,595.                    | 68,221,336.  |
|                         | 9                                                                                                                                                                              | Program service revenue (Part VIII, line 2g)                                       | 40,522.                        | 33,093.      |
|                         | 10                                                                                                                                                                             | Investment income (Part VIII, column (A), lines 3, 4, and 7d)                      | 5,789,995.                     | 5,751,309.   |
|                         | 11                                                                                                                                                                             | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)           | 781,081.                       | 209,145.     |
|                         | 12                                                                                                                                                                             | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 59,342,193.                    | 74,214,883.  |
|                         | 13                                                                                                                                                                             | Grants and similar amounts paid (Part IX, column (A), lines 1-3)                   | 398,410.                       | 135,500.     |
|                         | 14                                                                                                                                                                             | Benefits paid to or for members (Part IX, column (A), line 4)                      | 0                              | 0            |
| Expenses                | 15                                                                                                                                                                             | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)  | 40,036,349.                    | 43,068,765.  |
|                         | 16a                                                                                                                                                                            | Professional fundraising fees (Part IX, column (A), line 11e)                      | 1,431,929.                     | 1,848,951.   |
|                         | b                                                                                                                                                                              | Total fundraising expenses (Part IX, column (D), line 25) ▶ 10,248,758.            |                                |              |
|                         | 17                                                                                                                                                                             | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)                       | 21,189,851.                    | 21,592,798.  |
|                         | 18                                                                                                                                                                             | Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)          | 63,056,539.                    | 66,646,014.  |
|                         | 19                                                                                                                                                                             | Revenue less expenses. Subtract line 18 from line 12                               | -3,714,346.                    | 7,568,869.   |
|                         | Net Assets or Fund Balances                                                                                                                                                    | 20                                                                                 | Total assets (Part X, line 16) | 228,020,161. |
| 21                      |                                                                                                                                                                                | Total liabilities (Part X, line 26)                                                | 4,873,585.                     | 4,819,387.   |
| 22                      |                                                                                                                                                                                | Net assets or fund balances. Subtract line 21 from line 20.                        | 223,146,576.                   | 241,604,108. |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer

Date

Type or print name and title

**Paid Preparer Use Only**

Print/Type preparer's name

PAUL HAMMERSCHMIDT

Preparer's signature

Date

J/8/15

Check ☐ if self-employed

PTIN

P01384178

Firm's name ▶ BDO USA, LLP

Firm's EIN ▶ 13-5381590

Firm's address ▶ 100 PARK AVENUE, NEW YORK, NY 10017

Phone no. 212-885-8000

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**.
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|                                                                                            |                                                                        |                    |                                                    |  |
|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------|--------------------|----------------------------------------------------|--|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions.          |                    | Enter filer's identifying number, see instructions |  |
|                                                                                            | HUMAN RIGHTS WATCH, INC.                                               |                    | Employer identification number (EIN) or            |  |
|                                                                                            | Number, street, and room or suite no. If a P.O. box, see instructions. |                    | 13-2875808                                         |  |
|                                                                                            | 350 FIFTH AVENUE, 34TH FLOOR                                           |                    | Social security number (SSN)                       |  |
| City, town or post office, state, and ZIP code. For a foreign address, see instructions.   |                                                                        | NEW YORK, NY 10118 |                                                    |  |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . **011**

| Application Is For                       | Return Code | Application Is For                | Return Code |
|------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          |                                   |             |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **BARBARA GUGLIELMO**.  
Telephone No. **212 216-1292** Fax No. \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **05/15**, 20 **15**.
- For calendar year \_\_\_\_\_, or other tax year beginning **07/01**, 20 **13**, and ending **06/30**, 20 **14**.
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period
- State in detail why you need the extension  
INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS  
NOT YET AVAILABLE FROM THIRD PARTIES.

|                                                                                                                                                                                                                                             |              |   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---|
| <b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | <b>8a</b> \$ | 0 |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | <b>8b</b> \$ | 0 |
| <b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                            | <b>8c</b> \$ | 0 |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

Date ▶

Form 8868 (Rev. 1-2014)

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐ Yes ☒ No**1** Briefly describe the organization's mission:**ATTACHMENT 1****2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code: ) (Expenses \$ 6,263,768. including grants of \$ 0 ) (Revenue \$ 0 )AFRICA DIVISION OF HUMAN RIGHTS WATCH, INC. MONITORS AND  
PROMOTES HUMAN RIGHTS IN SUB-SAHARAN AFRICA. HUMAN RIGHTS  
WATCH SENDS INVESTIGATIVE MISSIONS TO COLLECT INFORMATION  
AND REPORTS ITS FINDINGS TO THE PUBLIC.**4b** (Code: ) (Expenses \$ 5,850,874. including grants of \$ 0 ) (Revenue \$ 0 )ASIA DIVISION OF HUMAN RIGHTS WATCH, INC. MONITORS AND PROMOTES  
HUMAN RIGHTS IN ASIAN COUNTRIES FROM AFGHANISTAN TO THE EAST.  
HUMAN RIGHTS WATCH SENDS INVESTIGATIVE MISSIONS TO COLLECT  
INFORMATION AND REPORTS ITS FINDINGS TO THE PUBLIC.**4c** (Code: ) (Expenses \$ 4,693,161. including grants of \$ 0 ) (Revenue \$ 0 )EUROPE & CENTRAL ASIA DIVISION OF HUMAN RIGHTS WATCH, INC.  
MONITORS AND PROMOTES HUMAN RIGHTS IN EUROPE & CENTRAL ASIA. HUMAN  
RIGHTS WATCH SENDS INVESTIGATIVE MISSIONS TO COLLECT INFORMATION  
AND REPORTS ITS FINDINGS TO THE PUBLIC.**4d** Other program services (Describe in Schedule O.)

(Expenses \$ 34,650,747. including grants of \$ 135,500. ) (Revenue \$ 33,093. )

**4e** Total program service expenses **51,458,550.**

**Part IV Checklist of Required Schedules**

|                                                                                                                                                                                                                                                                                                                              | Yes          | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .                                                                                                                                                                         | <b>1</b> X   |    |
| <b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .                                                                                                                                                                                                         | <b>2</b> X   |    |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . .                                                                                                                      | <b>3</b>     | X  |
| <b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .                                                                                                              | <b>4</b> X   |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III . . . . .                                                                               | <b>5</b>     | X  |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I . . . . .                                                    | <b>6</b>     | X  |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . .                                                                                            | <b>7</b>     | X  |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III . . . . .                                                                                                                                                         | <b>8</b>     | X  |
| <b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV . . . . .            | <b>9</b>     | X  |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . . .                                                                                                     | <b>10</b> X  |    |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.                                                                                                                                                                    |              |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI . . . . .                                                                                                                                                                       | <b>11a</b> X |    |
| <b>b</b> Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . .                                                                                                     | <b>11b</b> X |    |
| <b>c</b> Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . .                                                                                                     | <b>11c</b>   | X  |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX . . . . .                                                                                                                      | <b>11d</b>   | X  |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X . . . . .                                                                                                                                                                                     | <b>11e</b> X |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X . . . . .                                                            | <b>11f</b> X |    |
| <b>12 a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII . . . . .                                                                                                                                                       | <b>12a</b> X |    |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional . . . . .                                                                           | <b>12b</b>   | X  |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .                                                                                                                                                                                                        | <b>13</b>    | X  |
| <b>14 a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .                                                                                                                                                                                                            | <b>14a</b> X |    |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV . . . . . | <b>14b</b> X |    |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . .                                                                                                           | <b>15</b> X  |    |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV . . . . .                                                                                                     | <b>16</b> X  |    |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . .                                                                                            | <b>17</b> X  |    |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .                                                                                                                           | <b>18</b> X  |    |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .                                                                                                                                                     | <b>19</b>    | X  |
| <b>20 a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .                                                                                                                                                                                                            | <b>20a</b>   | X  |
| <b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .                                                                                                                                                                                              | <b>20b</b>   |    |

**Part IV Checklist of Required Schedules (continued)**

|                                                                                                                                                                                                                                                                                                                                     | Yes        | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----|
| <b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II . . . . .</i>                                                                                                     | <b>21</b>  | X  |
| <b>22</b> Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>                                                                                                           | <b>22</b>  | X  |
| <b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>                                                     | <b>23</b>  | X  |
| <b>24 a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a. . . . .</i>                          | <b>24a</b> | X  |
| <b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .                                                                                                                                                                                                                | <b>24b</b> |    |
| <b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .                                                                                                                                                                       | <b>24c</b> |    |
| <b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .                                                                                                                                                                                                          | <b>24d</b> |    |
| <b>25 a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>                                                                                                   | <b>25a</b> | X  |
| <b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>                                       | <b>25b</b> | X  |
| <b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payable to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II. . . . .                                            | <b>26</b>  | X  |
| <b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III. . . . .</i> | <b>27</b>  | X  |
| <b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):                                                                                                                             |            |    |
| <b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV. . . . .</i>                                                                                                                                                                                                    | <b>28a</b> | X  |
| <b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV. . . . .</i>                                                                                                                                                                                 | <b>28b</b> | X  |
| <b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV. . . . .</i>                                                                                     | <b>28c</b> | X  |
| <b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M . . . . .</i>                                                                                                                                                                                                 | <b>29</b>  | X  |
| <b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M . . . . .</i>                                                                                                                                 | <b>30</b>  | X  |
| <b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I. . . . .</i>                                                                                                                                                                                        | <b>31</b>  | X  |
| <b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II . . . . .</i>                                                                                                                                                                     | <b>32</b>  | X  |
| <b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I . . . . .</i>                                                                                                                     | <b>33</b>  | X  |
| <b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .</i>                                                                                                                                                                 | <b>34</b>  | X  |
| <b>35 a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .                                                                                                                                                                                                                       | <b>35a</b> | X  |
| <b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2. . . . .</i>                                                                                          | <b>35b</b> |    |
| <b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>                                                                                                                          | <b>36</b>  | X  |
| <b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI . . . . .</i>                                                                            | <b>37</b>  | X  |
| <b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. . . . .                                                                                                                              | <b>38</b>  | X  |

Form **990** (2013)

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V ☒ X

|                                                                                                                                                                                                                                                                                |               | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----|----|
| <b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.                                                                                                                                                                                        | <b>1a</b> 87  |     |    |
| <b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.                                                                                                                                                                                      | <b>1b</b> 0   |     |    |
| <b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?                                                                                                              | <b>1c</b>     | X   |    |
| <b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.                                                                                       | <b>2a</b> 990 |     |    |
| <b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns?<br><b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).                                   | <b>2b</b>     | X   |    |
| <b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                        | <b>3a</b>     | X   |    |
| <b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.                                                                                                                                                          | <b>3b</b>     | X   |    |
| <b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?                           | <b>4a</b>     | X   |    |
| <b>b</b> If "Yes," enter the name of the foreign country:<br>See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.                                                                                                    |               |     |    |
| <b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?                                                                                                                                                                | <b>5a</b>     |     | X  |
| <b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?                                                                                                                                                      | <b>5b</b>     |     | X  |
| <b>c</b> If "Yes" to line 5a or 5b, did the organization file Form 8886-T?                                                                                                                                                                                                     | <b>5c</b>     |     |    |
| <b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?                                                              | <b>6a</b>     |     | X  |
| <b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?                                                                                                                         | <b>6b</b>     |     |    |
| <b>7 Organizations that may receive deductible contributions under section 170(c).</b>                                                                                                                                                                                         |               |     |    |
| <b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?                                                                                                                       | <b>7a</b>     | X   |    |
| <b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided?                                                                                                                                                                       | <b>7b</b>     | X   |    |
| <b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?                                                                                                                                  | <b>7c</b>     |     | X  |
| <b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year.                                                                                                                                                                                                    | <b>7d</b>     |     |    |
| <b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                                                                       | <b>7e</b>     |     | X  |
| <b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                                                                          | <b>7f</b>     |     | X  |
| <b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?                                                                                                                                      | <b>7g</b>     |     |    |
| <b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?                                                                                                                                    | <b>7h</b>     |     |    |
| <b>8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | <b>8</b>      |     |    |
| <b>9 Sponsoring organizations maintaining donor advised funds.</b>                                                                                                                                                                                                             |               |     |    |
| <b>a</b> Did the organization make any taxable distributions under section 4966?                                                                                                                                                                                               | <b>9a</b>     |     |    |
| <b>b</b> Did the organization make a distribution to a donor, donor advisor, or related person?                                                                                                                                                                                | <b>9b</b>     |     |    |
| <b>10 Section 501(c)(7) organizations.</b> Enter:                                                                                                                                                                                                                              |               |     |    |
| <b>a</b> Initiation fees and capital contributions included on Part VIII, line 12.                                                                                                                                                                                             | <b>10a</b>    |     |    |
| <b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.                                                                                                                                                                          | <b>10b</b>    |     |    |
| <b>11 Section 501(c)(12) organizations.</b> Enter:                                                                                                                                                                                                                             |               |     |    |
| <b>a</b> Gross income from members or shareholders.                                                                                                                                                                                                                            | <b>11a</b>    |     |    |
| <b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)                                                                                                                                          | <b>11b</b>    |     |    |
| <b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?                                                                                                                                                          | <b>12a</b>    |     |    |
| <b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year.                                                                                                                                                                                | <b>12b</b>    |     |    |
| <b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>                                                                                                                                                                                                     |               |     |    |
| <b>a</b> Is the organization licensed to issue qualified health plans in more than one state?<br><b>Note.</b> See the instructions for additional information the organization must report on Schedule O.                                                                      | <b>13a</b>    |     |    |
| <b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.                                                                                                            | <b>13b</b>    |     |    |
| <b>c</b> Enter the amount of reserves on hand.                                                                                                                                                                                                                                 | <b>13c</b>    |     |    |
| <b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?                                                                                                                                                                          | <b>14a</b>    |     | X  |
| <b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.                                                                                                                                                            | <b>14b</b>    |     |    |

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI ☒ **X****Section A. Governing Body and Management**

|                                                                                                                                                                                                                                                  | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1a</b> Enter the number of voting members of the governing body at the end of the tax year . . . . . <b>1a</b> 34                                                                                                                             |     |    |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.                                |     |    |
| <b>b</b> Enter the number of voting members included in line 1a, above, who are independent . . . . . <b>1b</b> 34                                                                                                                               |     |    |
| <b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . . <b>2</b>                                                | X   |    |
| <b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . . <b>3</b> |     | X  |
| <b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . . <b>4</b>                                                                                                     |     | X  |
| <b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . . <b>5</b>                                                                                                           |     | X  |
| <b>6</b> Did the organization have members or stockholders? . . . . . <b>6</b>                                                                                                                                                                   |     | X  |
| <b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . . <b>7a</b>                                                                 |     | X  |
| <b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . . <b>7b</b>                                                           |     | X  |
| <b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:                                                                                                       |     |    |
| <b>a</b> The governing body? . . . . . <b>8a</b>                                                                                                                                                                                                 | X   |    |
| <b>b</b> Each committee with authority to act on behalf of the governing body? . . . . . <b>8b</b>                                                                                                                                               | X   |    |
| <b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . . <b>9</b>         |     | X  |

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

|                                                                                                                                                                                                                                                                                                                            | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>10a</b> Did the organization have local chapters, branches, or affiliates? . . . . . <b>10a</b>                                                                                                                                                                                                                         | X   |    |
| <b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . . <b>10b</b>                                                                   | X   |    |
| <b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . . <b>11a</b>                                                                                                                                                                | X   |    |
| <b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.                                                                                                                                                                                                                     |     |    |
| <b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . . <b>12a</b>                                                                                                                                                                                                    | X   |    |
| <b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . . <b>12b</b>                                                                                                                                                          | X   |    |
| <b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done . . . . . <b>12c</b>                                                                                                                                           | X   |    |
| <b>13</b> Did the organization have a written whistleblower policy? . . . . . <b>13</b>                                                                                                                                                                                                                                    | X   |    |
| <b>14</b> Did the organization have a written document retention and destruction policy? . . . . . <b>14</b>                                                                                                                                                                                                               | X   |    |
| <b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?                                                                                             |     |    |
| <b>a</b> The organization's CEO, Executive Director, or top management official . . . . . <b>15a</b>                                                                                                                                                                                                                       | X   |    |
| <b>b</b> Other officers or key employees of the organization . . . . . <b>15b</b>                                                                                                                                                                                                                                          |     | X  |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).                                                                                                                                                                                                                                        |     |    |
| <b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . . <b>16a</b>                                                                                                                                      |     | X  |
| <b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . . <b>16b</b> |     |    |

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed ► **ATTACHMENT 2**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► MITCHELL MAKE, 350 FIFTH AVENUE, 34TH FLOOR, NEW YORK, NY 10118 212-216-1292

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII. ☒ X**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and Title                            | (B)<br>Average<br>hours per<br>week (list any<br>hours for<br>related<br>organizations<br>below dotted<br>line) | (C)<br>Position<br>(do not check more than one<br>box, unless person is both an<br>officer and a director/trustee) |                       |         |              |                                 |        | (D)<br>Reportable<br>compensation<br>from<br>the<br>organization<br>(W-2/1099-MISC) | (E)<br>Reportable<br>compensation from<br>related<br>organizations<br>(W-2/1099-MISC) | (F)<br>Estimated<br>amount of<br>other<br>compensation<br>from the<br>organization<br>and related<br>organizations |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
|                                                  |                                                                                                                 | Individual trustee<br>or director                                                                                  | Institutional trustee | Officer | Key employee | Highest compensated<br>employee | Former |                                                                                     |                                                                                       |                                                                                                                    |
| (1) HASSAN ELMASRY<br>CO-CHAIRMAN                | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (2) JOEL MOTLEY<br>CO-CHAIRMAN                   | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (3) JAMES F. HOGE, JR (THRU 10/13)<br>CHAIRMAN   | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (4) WENDY KEYS<br>VICE-CHAIRMAN                  | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (5) SUSAN MANILOW<br>VICE-CHAIRMAN               | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (6) JEAN-LOUIS SERVAN-SCHREIBER<br>VICE-CHAIRMAN | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (7) SID SHEINBERG<br>VICE-CHAIRMAN               | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (8) JOHN J. STUZINSKI<br>VICE-CHAIRMAN           | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (9) MICHAEL G. FISCH<br>TREASURER                | 1.00<br>0                                                                                                       | X                                                                                                                  |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (10) KAREN ACKMAN<br>DIRECTOR                    | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (11) JORGE CASTANEDA<br>DIRECTOR                 | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (12) TONY ELLIOTT<br>DIRECTOR                    | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (13) MICHAEL E. GELLERT<br>DIRECTOR              | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (14) HINA JILANI<br>DIRECTOR                     | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title                                                    | (B)<br>Average<br>hours per<br>week (list any<br>hours for<br>related<br>organizations<br>below dotted<br>line) | (C)<br>Position<br>(do not check more than one<br>box, unless person is both an<br>officer and a director/trustee) |                       |         |              |                                 |        | (D)<br>Reportable<br>compensation<br>from<br>the<br>organization<br>(W-2/1099-MISC) | (E)<br>Reportable<br>compensation from<br>related<br>organizations<br>(W-2/1099-MISC) | (F)<br>Estimated<br>amount of<br>other<br>compensation<br>from the<br>organization<br>and related<br>organizations |
|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
|                                                                          |                                                                                                                 | Individual trustee<br>or director                                                                                  | Institutional trustee | Officer | Key employee | Highest compensated<br>employee | Former |                                                                                     |                                                                                       |                                                                                                                    |
| (15) BETSY KAREL<br>DIRECTOR                                             | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (16) ROBERT KISSANE<br>DIRECTOR                                          | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (17) KIMBERLY MARTEAU EMERSON<br>DIRECTOR                                | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (18) OKI MATSUMOTO<br>DIRECTOR                                           | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (19) BARRY MEYER<br>DIRECTOR                                             | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (20) AOIFE O'BRIEN<br>DIRECTOR                                           | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (21) JOAN R. PLATT<br>DIRECTOR                                           | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (22) AMY RAO<br>DIRECTOR                                                 | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (23) NEIL RIMER<br>DIRECTOR                                              | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (24) VICTORIA RISKIN<br>DIRECTOR                                         | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| (25) GRAHAM ROBESON<br>DIRECTOR                                          | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| <b>1b Sub-total</b> . . . . .                                            |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        | 2,515,874.                                                                          | 0                                                                                     | 460,288.                                                                                                           |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        | 2,515,874.                                                                          | 0                                                                                     | 460,288.                                                                                                           |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **57**

|                                                                                                                                                                                                                                                 | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .                                       |     | X  |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . . | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
| ATTACHMENT 3                     |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **41**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title                                                    | (B)<br>Average<br>hours per<br>week (list any<br>hours for<br>related<br>organizations<br>below dotted<br>line) | (C)<br>Position<br>(do not check more than one<br>box, unless person is both an<br>officer and a director/trustee) |                       |         |              |                                 |        | (D)<br>Reportable<br>compensation<br>from<br>the<br>organization<br>(W-2/1099-MISC) | (E)<br>Reportable<br>compensation from<br>related<br>organizations<br>(W-2/1099-MISC) | (F)<br>Estimated<br>amount of<br>other<br>compensation<br>from the<br>organization<br>and related<br>organizations |
|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
|                                                                          |                                                                                                                 | Individual trustee<br>or director                                                                                  | Institutional trustee | Officer | Key employee | Highest compensated<br>employee | Former |                                                                                     |                                                                                       |                                                                                                                    |
| ( 26 ) SHELLEY RUBIN<br>DIRECTOR                                         | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 27 ) KEVIN P. RYAN<br>DIRECTOR                                         | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 28 ) AMBASSADOR ROBIN SANDERS<br>DIRECTOR                              | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 29 ) JAVIER SOLANA<br>DIRECTOR                                         | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 30 ) SIRI STOLT-NIELSEN<br>DIRECTOR                                    | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 31 ) DARIAN W. SWIG<br>DIRECTOR                                        | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 32 ) JOHN R. TAYLOR<br>DIRECTOR                                        | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 33 ) AMY TOWERS<br>DIRECTOR                                            | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 34 ) MARIE WARBURG<br>DIRECTOR                                         | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 35 ) CATHERINE ZENNSTROM<br>DIRECTOR                                   | 1.00<br>0                                                                                                       | X                                                                                                                  |                       |         |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 36 ) KENNETH ROTH<br>EXECUTIVE DIRECTOR                                | 40.00<br>0                                                                                                      |                                                                                                                    |                       | X       |              |                                 |        | 449,018.                                                                            | 0                                                                                     | 67,741.                                                                                                            |
| <b>1b Sub-total</b> . . . . .                                            |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **57**

|                                                                                                                                                                                                                                                 | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .                                       |     | X  |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . . | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **►**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title                                                | (B)<br>Average<br>hours per<br>week (list any<br>hours for<br>related<br>organizations<br>below dotted<br>line) | (C)<br>Position<br>(do not check more than one<br>box, unless person is both an<br>officer and a director/trustee) |                       |         |              |                                 |        | (D)<br>Reportable<br>compensation<br>from<br>the<br>organization<br>(W-2/1099-MISC) | (E)<br>Reportable<br>compensation from<br>related<br>organizations<br>(W-2/1099-MISC) | (F)<br>Estimated<br>amount of<br>other<br>compensation<br>from the<br>organization<br>and related<br>organizations |
|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
|                                                                      |                                                                                                                 | Individual trustee<br>or director                                                                                  | Institutional trustee | Officer | Key employee | Highest compensated<br>employee | Former |                                                                                     |                                                                                       |                                                                                                                    |
| ( 37 ) BARBARA GUGLIELMO<br>ASST. TREAS., ADMIN & FIN DIR            | 40.00<br>0                                                                                                      |                                                                                                                    |                       | X       |              |                                 |        | 170,007.                                                                            | 0                                                                                     | 40,168.                                                                                                            |
| ( 38 ) BRUCE RABB<br>SECRETARY                                       | 1.00<br>0                                                                                                       |                                                                                                                    |                       | X       |              |                                 |        | 0                                                                                   | 0                                                                                     | 0                                                                                                                  |
| ( 39 ) MICHELE ALEXANDER<br>DEVELOPMENT & OUTREACH DIR.              | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         | X            |                                 |        | 273,275.                                                                            | 0                                                                                     | 50,566.                                                                                                            |
| ( 40 ) IAIN LEVINE<br>PROGRAM DIRECTOR                               | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         | X            |                                 |        | 241,364.                                                                            | 0                                                                                     | 46,950.                                                                                                            |
| ( 41 ) CARROLL BOGERT<br>ASSOCIATE DIRECTOR                          | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         | X            |                                 |        | 233,701.                                                                            | 0                                                                                     | 36,457.                                                                                                            |
| ( 42 ) CHARLES LUSTIG<br>DEP. EXEC. DIR. FOR OPERATIONS              | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         | X            |                                 |        | 223,101.                                                                            | 0                                                                                     | 41,947.                                                                                                            |
| ( 43 ) CHRISTINE SQUIRES<br>DEPUTY DIRECTOR, NORTH AMERICA           | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         |              | X                               |        | 198,633.                                                                            | 0                                                                                     | 42,724.                                                                                                            |
| ( 44 ) JOSEPH SAUNDERS<br>DEPUTY PROGRAM DIRECTOR                    | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         |              | X                               |        | 189,839.                                                                            | 0                                                                                     | 42,124.                                                                                                            |
| ( 45 ) JAMES ROSS<br>LEGAL & POLICY COUNSEL                          | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         |              | X                               |        | 183,942.                                                                            | 0                                                                                     | 41,341.                                                                                                            |
| ( 46 ) DINAH POKEMPNER<br>GENERAL COUNSEL                            | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         |              | X                               |        | 176,774.                                                                            | 0                                                                                     | 25,156.                                                                                                            |
| ( 47 ) TOM P. PORTEOUS<br>DEPUTY PROGRAM DIRECTOR                    | 40.00<br>0                                                                                                      |                                                                                                                    |                       |         |              | X                               |        | 176,220.                                                                            | 0                                                                                     | 25,114.                                                                                                            |
| <b>1b Sub-total</b> .....                                            |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |
| <b>c Total from continuation sheets to Part VII, Section A</b> ..... |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |
| <b>d Total (add lines 1b and 1c)</b> .....                           |                                                                                                                 |                                                                                                                    |                       |         |              |                                 |        |                                                                                     |                                                                                       |                                                                                                                    |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **57**

|                                                                                                                                                                                                                                             | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual .....                                       |     | X  |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual ..... | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person .....                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **0**

**Part VIII****Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . .

☒ X

|                                                                   |                                                        |                                                                                                                                                   |                      | (A)<br>Total revenue | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from tax<br>under sections<br>512-514 |
|-------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------------------------------------|-----------------------------------------|------------------------------------------------------------------|
| <b>Contributions, Gifts, Grants<br/>and Other Similar Amounts</b> | <b>1a</b>                                              | Federated campaigns . . . . .                                                                                                                     | <b>1a</b>            |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>b</b>                                               | Membership dues . . . . .                                                                                                                         | <b>1b</b>            |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               | Fundraising events . . . . .                                                                                                                      | <b>1c</b>            | 12,185,376.          |                                                    |                                         |                                                                  |
|                                                                   | <b>d</b>                                               | Related organizations . . . . .                                                                                                                   | <b>1d</b>            |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>e</b>                                               | Government grants (contributions) . .                                                                                                             | <b>1e</b>            |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>f</b>                                               | All other contributions, gifts, grants,<br>and similar amounts not included above .                                                               | <b>1f</b>            | 56,035,960.          |                                                    |                                         |                                                                  |
|                                                                   | <b>g</b>                                               | Noncash contributions included in lines 1a-1f: \$                                                                                                 |                      | 2,539,087.           |                                                    |                                         |                                                                  |
|                                                                   | <b>h</b>                                               | <b>Total.</b> Add lines 1a-1f . . . . .                                                                                                           |                      | 68,221,336.          |                                                    |                                         |                                                                  |
| <b>Program Service Revenue</b>                                    |                                                        |                                                                                                                                                   |                      | <b>Business Code</b> |                                                    |                                         |                                                                  |
|                                                                   | <b>2a</b>                                              | PUBLICATIONS                                                                                                                                      |                      | 541900               | 33,093.                                            | 33,093.                                 |                                                                  |
|                                                                   | <b>b</b>                                               |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>d</b>                                               |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>e</b>                                               |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>f</b>                                               | All other program service revenue . . . . .                                                                                                       |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>g</b>                                               | <b>Total.</b> Add lines 2a-2f . . . . .                                                                                                           |                      | 33,093.              |                                                    |                                         |                                                                  |
| <b>Other Revenue</b>                                              | <b>3</b>                                               | Investment income (including dividends, interest, and<br>other similar amounts) . . . . .                                                         |                      | 996,187.             |                                                    |                                         | 996,187.                                                         |
|                                                                   | <b>4</b>                                               | Income from investment of tax-exempt bond proceeds . . .                                                                                          |                      | 0                    |                                                    |                                         |                                                                  |
|                                                                   | <b>5</b>                                               | Royalties . . . . .                                                                                                                               |                      | 0                    |                                                    |                                         |                                                                  |
|                                                                   |                                                        |                                                                                                                                                   | (i) Real             | (ii) Personal        |                                                    |                                         |                                                                  |
|                                                                   | <b>6a</b>                                              | Gross rents . . . . .                                                                                                                             | 134,156.             |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>b</b>                                               | Less: rental expenses . . . . .                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               | Rental income or (loss) . . . . .                                                                                                                 | 134,156.             |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>d</b>                                               | Net rental income or (loss) . . . . .                                                                                                             |                      | 134,156.             |                                                    |                                         | 134,156.                                                         |
|                                                                   |                                                        |                                                                                                                                                   | (i) Securities       | (ii) Other           |                                                    |                                         |                                                                  |
|                                                                   | <b>7a</b>                                              | Gross amount from sales of<br>assets other than inventory . . . . .                                                                               | 14,610,226.          |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>b</b>                                               | Less: cost or other basis<br>and sales expenses . . . . .                                                                                         | 9,855,104.           |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               | Gain or (loss) . . . . .                                                                                                                          | 4,755,122.           |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>d</b>                                               | Net gain or (loss) . . . . .                                                                                                                      |                      | 4,755,122.           |                                                    |                                         | 4,755,122.                                                       |
|                                                                   | <b>8a</b>                                              | Gross income from fundraising<br>events (not including \$ 12,185,376.<br>of contributions reported on line 1c).<br>See Part IV, line 18 . . . . . | a                    | 3,174,653.           |                                                    |                                         |                                                                  |
|                                                                   | <b>b</b>                                               | Less: direct expenses . . . . .                                                                                                                   | b                    | 3,174,653.           |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               | Net income or (loss) from fundraising events . . . . .                                                                                            |                      | 0                    |                                                    |                                         |                                                                  |
|                                                                   | <b>9a</b>                                              | Gross income from gaming activities.<br>See Part IV, line 19 . . . . .                                                                            | a                    |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>b</b>                                               | Less: direct expenses . . . . .                                                                                                                   | b                    |                      |                                                    |                                         |                                                                  |
|                                                                   | <b>c</b>                                               | Net income or (loss) from gaming activities . . . . .                                                                                             |                      | 0                    |                                                    |                                         |                                                                  |
|                                                                   | <b>10a</b>                                             | Gross sales of inventory, less<br>returns and allowances . . . . .                                                                                | a                    |                      |                                                    |                                         |                                                                  |
| <b>b</b>                                                          | Less: cost of goods sold . . . . .                     | b                                                                                                                                                 |                      |                      |                                                    |                                         |                                                                  |
| <b>c</b>                                                          | Net income or (loss) from sales of inventory . . . . . |                                                                                                                                                   | 0                    |                      |                                                    |                                         |                                                                  |
| <b>Miscellaneous Revenue</b>                                      |                                                        |                                                                                                                                                   | <b>Business Code</b> |                      |                                                    |                                         |                                                                  |
| <b>11a</b>                                                        | UBI FROM PARTNERSHIP INTEREST                          |                                                                                                                                                   | 900099               | 57,786.              |                                                    | 57,786.                                 |                                                                  |
| <b>b</b>                                                          | MISCELLANEOUS INCOME                                   |                                                                                                                                                   | 900099               | 17,203.              |                                                    | 17,203.                                 |                                                                  |
| <b>c</b>                                                          |                                                        |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
| <b>d</b>                                                          | All other revenue . . . . .                            |                                                                                                                                                   |                      |                      |                                                    |                                         |                                                                  |
| <b>e</b>                                                          | <b>Total.</b> Add lines 11a-11d . . . . .              |                                                                                                                                                   |                      | 74,989.              |                                                    |                                         |                                                                  |
| <b>12</b>                                                         | <b>Total revenue.</b> See instructions . . . . .       |                                                                                                                                                   |                      | 74,214,883.          | 33,093.                                            | 57,786.                                 | 5,902,668.                                                       |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

|                                                                                                                                                                                                                                                            | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------------|----------------------------------------|-----------------------------|
| <b>1</b> Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 .                                                                                                                                         | 0                     |                                 |                                        |                             |
| <b>2</b> Grants and other assistance to individuals in the United States. See Part IV, line 22 . . . . .                                                                                                                                                   | 0                     |                                 |                                        |                             |
| <b>3</b> Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 . . . .                                                                                                        | 135,500.              | 135,500.                        |                                        |                             |
| <b>4</b> Benefits paid to or for members . . . . .                                                                                                                                                                                                         | 0                     |                                 |                                        |                             |
| <b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .                                                                                                                                                                | 1,932,042.            | 982,532.                        | 607,256.                               | 342,254.                    |
| <b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .                                                                                           | 0                     |                                 |                                        |                             |
| <b>7</b> Other salaries and wages . . . . .                                                                                                                                                                                                                | 31,140,100.           | 25,867,216.                     | 1,154,808.                             | 4,118,076.                  |
| <b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .                                                                                                                                      | 2,397,685.            | 1,988,884.                      | 89,252.                                | 319,549.                    |
| <b>9</b> Other employee benefits . . . . .                                                                                                                                                                                                                 | 4,648,968.            | 3,791,630.                      | 228,795.                               | 628,543.                    |
| <b>10</b> Payroll taxes . . . . .                                                                                                                                                                                                                          | 2,949,970.            | 2,321,101.                      | 162,030.                               | 466,839.                    |
| <b>11</b> Fees for services (non-employees):                                                                                                                                                                                                               |                       |                                 |                                        |                             |
| <b>a</b> Management . . . . .                                                                                                                                                                                                                              | 0                     |                                 |                                        |                             |
| <b>b</b> Legal . . . . .                                                                                                                                                                                                                                   | 43,200.               | 37,132.                         | 6,068.                                 |                             |
| <b>c</b> Accounting . . . . .                                                                                                                                                                                                                              | 294,214.              | 252,886.                        | 41,328.                                |                             |
| <b>d</b> Lobbying . . . . .                                                                                                                                                                                                                                | 118,885.              | 118,885.                        |                                        |                             |
| <b>e</b> Professional fundraising services. See Part IV, line 17.                                                                                                                                                                                          | 1,848,951.            |                                 |                                        | 1,848,951.                  |
| <b>f</b> Investment management fees . . . . .                                                                                                                                                                                                              | 646,762.              |                                 | 646,762.                               |                             |
| <b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .                                                                                                                            | 1,562,959.            | 992,224.                        | 181,584.                               | 389,151.                    |
| <b>12</b> Advertising and promotion . . . . .                                                                                                                                                                                                              | 0                     |                                 |                                        |                             |
| <b>13</b> Office expenses . . . . .                                                                                                                                                                                                                        | 5,351,485.            | 4,153,898.                      | 618,609.                               | 578,978.                    |
| <b>14</b> Information technology . . . . .                                                                                                                                                                                                                 | 533,072.              | 439,670.                        | 70,785.                                | 22,617.                     |
| <b>15</b> Royalties . . . . .                                                                                                                                                                                                                              | 0                     |                                 |                                        |                             |
| <b>16</b> Occupancy . . . . .                                                                                                                                                                                                                              | 4,760,092.            | 3,310,980.                      | 764,003.                               | 685,109.                    |
| <b>17</b> Travel . . . . .                                                                                                                                                                                                                                 | 5,602,484.            | 5,002,887.                      | 178,845.                               | 420,752.                    |
| <b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .                                                                                                                                         | 0                     |                                 |                                        |                             |
| <b>19</b> Conferences, conventions, and meetings . . . . .                                                                                                                                                                                                 | 313,805.              | 280,221.                        | 10,017.                                | 23,567.                     |
| <b>20</b> Interest . . . . .                                                                                                                                                                                                                               | 0                     |                                 |                                        |                             |
| <b>21</b> Payments to affiliates . . . . .                                                                                                                                                                                                                 | 0                     |                                 |                                        |                             |
| <b>22</b> Depreciation, depletion, and amortization . . . . .                                                                                                                                                                                              | 1,219,024.            | 878,685.                        | 177,126.                               | 163,213.                    |
| <b>23</b> Insurance . . . . .                                                                                                                                                                                                                              | 0                     |                                 |                                        |                             |
| <b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)                                                |                       |                                 |                                        |                             |
| <b>a</b> SPECIAL PROJECTS . . . . .                                                                                                                                                                                                                        | 609,741.              | 608,303.                        | 1,438.                                 |                             |
| <b>b</b> DIRECT MAIL . . . . .                                                                                                                                                                                                                             | 526,851.              | 285,692.                        |                                        | 241,159.                    |
| <b>c</b> OUTREACH . . . . .                                                                                                                                                                                                                                | 10,224.               | 10,224.                         |                                        |                             |
| <b>d</b> . . . . .                                                                                                                                                                                                                                         |                       |                                 |                                        |                             |
| <b>e</b> All other expenses . . . . .                                                                                                                                                                                                                      |                       |                                 |                                        |                             |
| <b>25</b> Total functional expenses. Add lines 1 through 24e                                                                                                                                                                                               | 66,646,014.           | 51,458,550.                     | 4,938,706.                             | 10,248,758.                 |
| <b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . . | 0                     |                                 |                                        |                             |

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

|                                                                            |                                                                                                                                                                                                                                                                                                                                        | (A)<br>Beginning of year |              | (B)<br>End of year |
|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------|--------------------|
| <b>Assets</b>                                                              | <b>1</b> Cash - non-interest-bearing                                                                                                                                                                                                                                                                                                   | 8,396,965.               | <b>1</b>     | 10,293,841.        |
|                                                                            | <b>2</b> Savings and temporary cash investments                                                                                                                                                                                                                                                                                        | 30,411,250.              | <b>2</b>     | 25,717,005.        |
|                                                                            | <b>3</b> Pledges and grants receivable, net                                                                                                                                                                                                                                                                                            | 83,917,731.              | <b>3</b>     | 87,670,337.        |
|                                                                            | <b>4</b> Accounts receivable, net                                                                                                                                                                                                                                                                                                      | 159,081.                 | <b>4</b>     | 701,390.           |
|                                                                            | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L                                                                                                                                                           | 0                        | <b>5</b>     | 0                  |
|                                                                            | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L | 0                        | <b>6</b>     | 0                  |
|                                                                            | <b>7</b> Notes and loans receivable, net                                                                                                                                                                                                                                                                                               | 0                        | <b>7</b>     | 0                  |
|                                                                            | <b>8</b> Inventories for sale or use                                                                                                                                                                                                                                                                                                   | 0                        | <b>8</b>     | 0                  |
|                                                                            | <b>9</b> Prepaid expenses and deferred charges                                                                                                                                                                                                                                                                                         | 730,359.                 | <b>9</b>     | 852,778.           |
|                                                                            | <b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D                                                                                                                                                                                                                                         | 10a 14,190,113.          |              |                    |
|                                                                            | <b>b</b> Less: accumulated depreciation                                                                                                                                                                                                                                                                                                | 10b 8,399,907.           |              |                    |
|                                                                            | <b>11</b> Investments - publicly traded securities                                                                                                                                                                                                                                                                                     | 2,758,605.               | <b>10c</b>   | 5,790,206.         |
|                                                                            | <b>12</b> Investments - other securities. See Part IV, line 11                                                                                                                                                                                                                                                                         | 87,984,496.              | <b>11</b>    | 98,447,671.        |
|                                                                            | <b>13</b> Investments - program-related. See Part IV, line 11                                                                                                                                                                                                                                                                          | 13,418,546.              | <b>12</b>    | 16,699,387.        |
|                                                                            | <b>14</b> Intangible assets                                                                                                                                                                                                                                                                                                            | 0                        | <b>13</b>    | 0                  |
|                                                                            | <b>15</b> Other assets. See Part IV, line 11                                                                                                                                                                                                                                                                                           | 0                        | <b>14</b>    | 0                  |
| <b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) | 243,128.                                                                                                                                                                                                                                                                                                                               | <b>15</b>                | 250,880.     |                    |
|                                                                            | 228,020,161.                                                                                                                                                                                                                                                                                                                           | <b>16</b>                | 246,423,495. |                    |
| <b>Liabilities</b>                                                         | <b>17</b> Accounts payable and accrued expenses                                                                                                                                                                                                                                                                                        | 4,295,578.               | <b>17</b>    | 4,490,740.         |
|                                                                            | <b>18</b> Grants payable                                                                                                                                                                                                                                                                                                               | 0                        | <b>18</b>    | 0                  |
|                                                                            | <b>19</b> Deferred revenue                                                                                                                                                                                                                                                                                                             | 21,480.                  | <b>19</b>    | 0                  |
|                                                                            | <b>20</b> Tax-exempt bond liabilities                                                                                                                                                                                                                                                                                                  | 0                        | <b>20</b>    | 0                  |
|                                                                            | <b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D                                                                                                                                                                                                                                                        | 0                        | <b>21</b>    | 0                  |
|                                                                            | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L                                                                                                                                         | 0                        | <b>22</b>    | 0                  |
|                                                                            | <b>23</b> Secured mortgages and notes payable to unrelated third parties                                                                                                                                                                                                                                                               | 0                        | <b>23</b>    | 0                  |
|                                                                            | <b>24</b> Unsecured notes and loans payable to unrelated third parties                                                                                                                                                                                                                                                                 | 0                        | <b>24</b>    | 0                  |
|                                                                            | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D                                                                                                                                                        | 556,527.                 | <b>25</b>    | 328,647.           |
|                                                                            | <b>26</b> <b>Total liabilities.</b> Add lines 17 through 25                                                                                                                                                                                                                                                                            | 4,873,585.               | <b>26</b>    | 4,819,387.         |
| <b>Net Assets or Fund Balances</b>                                         | <b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>                                                                                                                                                                      |                          |              |                    |
|                                                                            | <b>27</b> Unrestricted net assets                                                                                                                                                                                                                                                                                                      | 20,579,514.              | <b>27</b>    | 21,204,102.        |
|                                                                            | <b>28</b> Temporarily restricted net assets                                                                                                                                                                                                                                                                                            | 202,567,062.             | <b>28</b>    | 220,400,006.       |
|                                                                            | <b>29</b> Permanently restricted net assets                                                                                                                                                                                                                                                                                            | 0                        | <b>29</b>    | 0                  |
|                                                                            | <b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>                                                                                                                                                                                               |                          |              |                    |
|                                                                            | <b>30</b> Capital stock or trust principal, or current funds                                                                                                                                                                                                                                                                           |                          | <b>30</b>    |                    |
|                                                                            | <b>31</b> Paid-in or capital surplus, or land, building, or equipment fund                                                                                                                                                                                                                                                             |                          | <b>31</b>    |                    |
|                                                                            | <b>32</b> Retained earnings, endowment, accumulated income, or other funds                                                                                                                                                                                                                                                             |                          | <b>32</b>    |                    |
|                                                                            | <b>33</b> Total net assets or fund balances                                                                                                                                                                                                                                                                                            | 223,146,576.             | <b>33</b>    | 241,604,108.       |
|                                                                            | <b>34</b> Total liabilities and net assets/fund balances                                                                                                                                                                                                                                                                               | 228,020,161.             | <b>34</b>    | 246,423,495.       |

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

|           |                                                                                                                          |           |              |
|-----------|--------------------------------------------------------------------------------------------------------------------------|-----------|--------------|
| <b>1</b>  | Total revenue (must equal Part VIII, column (A), line 12) . . . . .                                                      | <b>1</b>  | 74,214,883.  |
| <b>2</b>  | Total expenses (must equal Part IX, column (A), line 25) . . . . .                                                       | <b>2</b>  | 66,646,014.  |
| <b>3</b>  | Revenue less expenses. Subtract line 2 from line 1 . . . . .                                                             | <b>3</b>  | 7,568,869.   |
| <b>4</b>  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .                      | <b>4</b>  | 223,146,576. |
| <b>5</b>  | Net unrealized gains (losses) on investments . . . . .                                                                   | <b>5</b>  | 10,888,663.  |
| <b>6</b>  | Donated services and use of facilities . . . . .                                                                         | <b>6</b>  | 0            |
| <b>7</b>  | Investment expenses . . . . .                                                                                            | <b>7</b>  | 0            |
| <b>8</b>  | Prior period adjustments . . . . .                                                                                       | <b>8</b>  | 0            |
| <b>9</b>  | Other changes in net assets or fund balances (explain in Schedule O) . . . . .                                           | <b>9</b>  | 0            |
| <b>10</b> | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) . . . . . | <b>10</b> | 241,604,108. |

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . . . . .  
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

|           | Yes | No |
|-----------|-----|----|
| <b>2a</b> |     | X  |
| <b>2b</b> | X   |    |
| <b>2c</b> | X   |    |
| <b>3a</b> |     | X  |
| <b>3b</b> |     |    |

Form **990** (2013)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I    b ☐ Type II    c ☐ Type III-Functionally integrated    d ☐ Type III-Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box. ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? . . . . .
- (ii) A family member of a person described in (i) above? . . . . .
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? . . . . .

|          | Yes | No |
|----------|-----|----|
| 11g(i)   |     |    |
| 11g(ii)  |     |    |
| 11g(iii) |     |    |

h Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-9 above or IRC section (see instructions)) | (iv) Is the organization in col. (i) listed in your governing document? |    | (v) Did you notify the organization in col. (i) of your support? |    | (vi) Is the organization in col. (i) organized in the U.S.? |    | (vii) Amount of monetary support |
|------------------------------------|----------|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----|------------------------------------------------------------------|----|-------------------------------------------------------------|----|----------------------------------|
|                                    |          |                                                                                             | Yes                                                                     | No | Yes                                                              | No | Yes                                                         | No |                                  |
| (A)                                |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |
| (B)                                |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |
| (C)                                |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |
| (D)                                |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |
| (E)                                |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |
| Total                              |          |                                                                                             |                                                                         |    |                                                                  |    |                                                             |    |                                  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ▶                                                                                                                                                                         | (a) 2009    | (b) 2010     | (c) 2011    | (d) 2012    | (e) 2013    | (f) Total    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------|-------------|-------------|-------------|--------------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .                                                                                                 | 39,220,034. | 134,174,146. | 70,520,001. | 52,730,595. | 68,221,336. | 364,866,112. |
| <b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .                                                                                                    |             |              |             |             |             | 0            |
| <b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .                                                                                            |             |              |             |             |             | 0            |
| <b>4 Total.</b> Add lines 1 through 3. . . . .                                                                                                                                                                        | 39,220,034. | 134,174,146. | 70,520,001. | 52,730,595. | 68,221,336. | 364,866,112. |
| <b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . . . . |             |              |             |             |             | 130,565,174. |
| <b>6 Public support.</b> Subtract line 5 from line 4. . . . .                                                                                                                                                         |             |              |             |             |             | 234,300,938. |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ▶                                                                                                                                                            | (a) 2009    | (b) 2010     | (c) 2011    | (d) 2012    | (e) 2013    | (f) Total                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------|-------------|-------------|-------------|--------------------------|
| <b>7</b> Amounts from line 4 . . . . .                                                                                                                                                                   | 39,220,034. | 134,174,146. | 70,520,001. | 52,730,595. | 68,221,336. | 364,866,112.             |
| <b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .                                                        | 24,077.     | 473,052.     | 308,543.    | 746,234.    | 1,130,343.  | 2,682,249.               |
| <b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .                                                                                    | 19,190.     | 119,635.     | 11,834.     | 30,445.     | 57,786.     | 238,890.                 |
| <b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . <b>ATCH. 1</b> . . . . .                                                                     |             |              | 13,977.     | 568,431.    | 17,203.     | 599,611.                 |
| <b>11 Total support.</b> Add lines 7 through 10. . . . .                                                                                                                                                 |             |              |             |             |             | 368,386,862.             |
| <b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .                                                                                                                      |             |              |             |             | <b>12</b>   | 248,374.                 |
| <b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . |             |              |             |             |             | <input type="checkbox"/> |

**Section C. Computation of Public Support Percentage**

|                                                                                                                                                                                                                                                                                                                                                                                                                        |           |                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------------------------------|
| <b>14</b> Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) . . . . .                                                                                                                                                                                                                                                                                                             | <b>14</b> | 63.60 %                             |
| <b>15</b> Public support percentage from 2012 Schedule A, Part II, line 14 . . . . .                                                                                                                                                                                                                                                                                                                                   | <b>15</b> | 62.40 %                             |
| <b>16a 33 1/3% support test - 2013.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . .                                                                                                                                                                           |           | <input checked="" type="checkbox"/> |
| <b>b 33 1/3% support test - 2012.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization . . . . .                                                                                                                                                                        |           | <input type="checkbox"/>            |
| <b>17a 10%-facts-and-circumstances test - 2013.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .    |           | <input type="checkbox"/>            |
| <b>b 10%-facts-and-circumstances test - 2012.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . |           | <input type="checkbox"/>            |
| <b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .                                                                                                                                                                                                                                                                 |           | <input type="checkbox"/>            |

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.  
If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ►                                                                                                                                               | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|----------|-----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")                                                                                 |          |          |          |          |          |           |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . . |          |          |          |          |          |           |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .                                                                             |          |          |          |          |          |           |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .                                                                          |          |          |          |          |          |           |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .                                                                  |          |          |          |          |          |           |
| <b>6 Total.</b> Add lines 1 through 5 . . . . .                                                                                                                                             |          |          |          |          |          |           |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .                                                                                                |          |          |          |          |          |           |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .           |          |          |          |          |          |           |
| <b>c</b> Add lines 7a and 7b. . . . .                                                                                                                                                       |          |          |          |          |          |           |
| <b>8 Public support</b> (Subtract line 7c from line 6.) . . . . .                                                                                                                           |          |          |          |          |          |           |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ►                                                                                                                                                                                       | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|----------|-----------|
| <b>9</b> Amounts from line 6. . . . .                                                                                                                                                                                               |          |          |          |          |          |           |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .                                                                                 |          |          |          |          |          |           |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .                                                                                                          |          |          |          |          |          |           |
| <b>c</b> Add lines 10a and 10b . . . . .                                                                                                                                                                                            |          |          |          |          |          |           |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .                                                                                     |          |          |          |          |          |           |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .                                                                                                                 |          |          |          |          |          |           |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .                                                                                                                                                                  |          |          |          |          |          |           |
| <b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . ► <input type="checkbox"/> |          |          |          |          |          |           |

**Section C. Computation of Public Support Percentage**

|                                                                                                            |           |   |
|------------------------------------------------------------------------------------------------------------|-----------|---|
| <b>15</b> Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) . . . . . | <b>15</b> | % |
| <b>16</b> Public support percentage from 2012 Schedule A, Part III, line 15 . . . . .                      | <b>16</b> | % |

**Section D. Computation of Investment Income Percentage**

|                                                                                                                        |           |   |
|------------------------------------------------------------------------------------------------------------------------|-----------|---|
| <b>17</b> Investment income percentage for <b>2013</b> (line 10c, column (f) divided by line 13, column (f)) . . . . . | <b>17</b> | % |
| <b>18</b> Investment income percentage from <b>2012</b> Schedule A, Part III, line 17 . . . . .                        | <b>18</b> | % |

**19a 33 1/3% support tests - 2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**b 33 1/3% support tests - 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

**Part IV** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).ATTACHMENT 1

## SCHEDULE A, PART II - OTHER INCOME

| DESCRIPTION          | 2009 | 2010 | 2011           | 2012            | 2013           | TOTAL           |
|----------------------|------|------|----------------|-----------------|----------------|-----------------|
| MISCELLANEOUS INCOME |      |      | 13,977.        | 568,431.        | 17,203.        | 599,611.        |
| TOTALS               |      |      | <u>13,977.</u> | <u>568,431.</u> | <u>17,203.</u> | <u>599,611.</u> |

# Schedule of Contributors

OMB No. 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

## General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

## Special Rules

☒ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **HUMAN RIGHTS WATCH, INC.**Employer identification number  
**13-2875808****Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|-----------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          |                                   | \$ 11,875,000.             | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          |                                   | \$ 5,993,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 3          |                                   | \$ 2,600,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 4          |                                   | \$ 1,503,040.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 5          |                                   | \$ 1,319,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 6          |                                   | \$ 1,224,684.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

Name of organization **HUMAN RIGHTS WATCH, INC.**Employer identification number  
**13-2875808****Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                                |
|------------|-----------------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7          |                                   | \$ 2,750,000.              | Person <input checked="checked" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)                   |

Name of organization HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|----------------------------------------------|------------------------------------------------|----------------------|
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| ---                       | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |

Name of organization **HUMAN RIGHTS WATCH, INC.**Employer identification number  
**13-2875808****Part III** **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-------------------------|------------------------------------------|
| ---                       | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
| ---                       | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
| ---                       | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
| ---                       | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

|                          |                                |
|--------------------------|--------------------------------|
| Name of organization     | Employer identification number |
| HUMAN RIGHTS WATCH, INC. | 13-2875808                     |

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures . . . . . ▶ \$
- 3 Volunteer hours . . . . .

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . . . ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . . ☐ Yes ☐ No
- 4a Was a correction made? . . . . . ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . . ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . . ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| (1)      |             |         |                                                                       |                                                                                                                                              |
| (2)      |             |         |                                                                       |                                                                                                                                              |
| (3)      |             |         |                                                                       |                                                                                                                                              |
| (4)      |             |         |                                                                       |                                                                                                                                              |
| (5)      |             |         |                                                                       |                                                                                                                                              |
| (6)      |             |         |                                                                       |                                                                                                                                              |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2013

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

| Limits on Lobbying Expenditures<br>(The term "expenditures" means amounts paid or incurred.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                             | (a) Filing organization's totals                                    | (b) Affiliated group totals        |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------|--------------------|-------------------------------|-----------------------------------------|--------------------------------------------------|-------------------------------------------|----------------------------------------------------|--------------------------------------------|---------------------------------------------------|-------------------|--------------|--|--|
| <b>1a</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .                                                                    | 118,885.                                                            |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>b</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .                                                                     |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>c</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Total lobbying expenditures (add lines 1a and 1b) . . . . .                                                                                                 | 118,885.                                                            |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>d</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Other exempt purpose expenditures . . . . .                                                                                                                 | 56,278,371.                                                         |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>e</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Total exempt purpose expenditures (add lines 1c and 1d) . . . . .                                                                                           | 56,397,256.                                                         |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>f</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Lobbying nontaxable amount. Enter the amount from the following table in both columns.                                                                      | 1,000,000.                                                          |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> |                                                                                                                                                             | If the amount on line 1e, column (a) or (b) is:                     | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. |  |  |
| If the amount on line 1e, column (a) or (b) is:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | The lobbying nontaxable amount is:                                                                                                                          |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| Not over \$500,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 20% of the amount on line 1e.                                                                                                                               |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| Over \$500,000 but not over \$1,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | \$100,000 plus 15% of the excess over \$500,000.                                                                                                            |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| Over \$1,000,000 but not over \$1,500,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | \$175,000 plus 10% of the excess over \$1,000,000.                                                                                                          |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| Over \$1,500,000 but not over \$17,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | \$225,000 plus 5% of the excess over \$1,500,000.                                                                                                           |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| Over \$17,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | \$1,000,000.                                                                                                                                                |                                                                     |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>g</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Grassroots nontaxable amount (enter 25% of line 1f) . . . . .                                                                                               | 250,000.                                                            |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>h</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .                                                                                         | 0                                                                   | 0                                  |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>i</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .                                                                                         | 0                                                                   | 0                                  |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |
| <b>j</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . . | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |                                    |                    |                               |                                         |                                                  |                                           |                                                    |                                            |                                                   |                   |              |  |  |

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period             |            |            |            |            |            |
|------------------------------------------------------------------|------------|------------|------------|------------|------------|
| Calendar year (or fiscal year beginning in)                      | (a) 2010   | (b) 2011   | (c) 2012   | (d) 2013   | (e) Total  |
| <b>2a</b> Lobbying nontaxable amount                             | 1,000,000. | 1,000,000. | 1,000,000. | 1,000,000. | 4,000,000. |
| <b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))   |            |            |            |            | 6,000,000. |
| <b>c</b> Total lobbying expenditures                             | 141,617.   | 177,088.   | 189,737.   | 118,885.   | 627,327.   |
| <b>d</b> Grassroots nontaxable amount                            | 250,000.   | 250,000.   | 250,000.   | 250,000.   | 1,000,000. |
| <b>e</b> Grassroots ceiling amount (150% of line 2d, column (e)) |            |            |            |            | 1,500,000. |
| <b>f</b> Grassroots lobbying expenditures                        | 141,617.   | 177,088.   | 189,737.   | 118,885.   | 627,327.   |

Schedule C (Form 990 or 990-EZ) 2013

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

|            |                                                                                                                                                                                                                               | (a) |    | (b)    |
|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|--------|
|            |                                                                                                                                                                                                                               | Yes | No | Amount |
| <b>1</b>   | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: |     |    |        |
| <b>a</b>   | Volunteers?                                                                                                                                                                                                                   |     |    |        |
| <b>b</b>   | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?                                                                                                                                  |     |    |        |
| <b>c</b>   | Media advertisements?                                                                                                                                                                                                         |     |    |        |
| <b>d</b>   | Mailings to members, legislators, or the public?                                                                                                                                                                              |     |    |        |
| <b>e</b>   | Publications, or published or broadcast statements?                                                                                                                                                                           |     |    |        |
| <b>f</b>   | Grants to other organizations for lobbying purposes?                                                                                                                                                                          |     |    |        |
| <b>g</b>   | Direct contact with legislators, their staffs, government officials, or a legislative body?                                                                                                                                   |     |    |        |
| <b>h</b>   | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?                                                                                                                                     |     |    |        |
| <b>i</b>   | Other activities?                                                                                                                                                                                                             |     |    |        |
| <b>j</b>   | Total. Add lines 1c through 1i                                                                                                                                                                                                |     |    |        |
| <b>2 a</b> | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?                                                                                                                                 |     |    |        |
| <b>b</b>   | If "Yes," enter the amount of any tax incurred under section 4912                                                                                                                                                             |     |    |        |
| <b>c</b>   | If "Yes," enter the amount of any tax incurred by organization managers under section 4912                                                                                                                                    |     |    |        |
| <b>d</b>   | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?                                                                                                                                  |     |    |        |

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

|          |                                                                                                   | Yes      | No |
|----------|---------------------------------------------------------------------------------------------------|----------|----|
| <b>1</b> | Were substantially all (90% or more) dues received nondeductible by members?                      | <b>1</b> |    |
| <b>2</b> | Did the organization make only in-house lobbying expenditures of \$2,000 or less?                 | <b>2</b> |    |
| <b>3</b> | Did the organization agree to carry over lobbying and political expenditures from the prior year? | <b>3</b> |    |

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

|          |                                                                                                                                                                                                                                            |           |  |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>1</b> | Dues, assessments and similar amounts from members                                                                                                                                                                                         | <b>1</b>  |  |
| <b>2</b> | Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).                                                                                 |           |  |
| <b>a</b> | Current year                                                                                                                                                                                                                               | <b>2a</b> |  |
| <b>b</b> | Carryover from last year                                                                                                                                                                                                                   | <b>2b</b> |  |
| <b>c</b> | Total                                                                                                                                                                                                                                      | <b>2c</b> |  |
| <b>3</b> | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues                                                                                                                                            | <b>3</b>  |  |
| <b>4</b> | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | <b>4</b>  |  |
| <b>5</b> | Taxable amount of lobbying and political expenditures (see instructions)                                                                                                                                                                   | <b>5</b>  |  |

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

---



---



---



---



---



---



---



---



---



---

**Part IV** Supplemental Information *(continued)*

---

SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization

HUMAN RIGHTS WATCH, INC.

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

2013

Open to Public  
Inspection

Employer identification number

13-2875808

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

|                                                                                                                                                                                                                                                                                 | (a) Donor advised funds | (b) Funds and other accounts                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------------------------------------|
| 1 Total number at end of year . . . . .                                                                                                                                                                                                                                         |                         |                                                          |
| 2 Aggregate contributions to (during year) . . . . .                                                                                                                                                                                                                            |                         |                                                          |
| 3 Aggregate grants from (during year) . . . . .                                                                                                                                                                                                                                 |                         |                                                          |
| 4 Aggregate value at end of year . . . . .                                                                                                                                                                                                                                      |                         |                                                          |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . .                                                            |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . . |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

|                                                                                              |                                                                              |
|----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
| <input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) | <input type="checkbox"/> Preservation of an historically important land area |
| <input type="checkbox"/> Protection of natural habitat                                       | <input type="checkbox"/> Preservation of a certified historic structure      |
| <input type="checkbox"/> Preservation of open space                                          |                                                                              |

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

|                                                                                                                                                      | Held at the End of the Tax Year |
|------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| a Total number of conservation easements . . . . .                                                                                                   | 2a                              |
| b Total acreage restricted by conservation easements . . . . .                                                                                       | 2b                              |
| c Number of conservation easements on a certified historic structure included in (a) . . . . .                                                       | 2c                              |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register . . . . . | 2d                              |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . . ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B) (i) and section 170(h)(4)(B)(ii)? . . . . . ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2013

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a** ☐ Public exhibition  
**b** ☐ Scholarly research  
**c** ☐ Preservation for future generations  
**d** ☐ Loan or exchange programs  
**e** ☐ Other \_\_\_\_\_

**4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

**5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . . . ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . . ☐ Yes ☐ No

**b** If "Yes," explain the arrangement in Part XIII and complete the following table:

|                                                  | Amount    |
|--------------------------------------------------|-----------|
| <b>c</b> Beginning balance . . . . .             | <b>1c</b> |
| <b>d</b> Additions during the year . . . . .     | <b>1d</b> |
| <b>e</b> Distributions during the year . . . . . | <b>1e</b> |
| <b>f</b> Ending balance . . . . .                | <b>1f</b> |

**2a** Did the organization include an amount on Form 990, Part X, line 21? . . . . . ☐ Yes ☐ No

**b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. . . . . ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

|                                                                   | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|-------------------------------------------------------------------|------------------|----------------|--------------------|----------------------|---------------------|
| <b>1a</b> Beginning of year balance . . . . .                     | 92,183,785.      | 83,741,968.    | 86,168,197.        | 74,069,004.          | 66,921,476.         |
| <b>b</b> Contributions . . . . .                                  | 34,137.          | 44,586.        |                    |                      |                     |
| <b>c</b> Net investment earnings, gains, and losses . . . . .     | 16,084,884.      | 8,842,455.     | -1,889,092.        | 15,481,437.          | 8,754,291.          |
| <b>d</b> Grants or scholarships . . . . .                         |                  |                |                    |                      |                     |
| <b>e</b> Other expenditures for facilities and programs . . . . . |                  |                | 107,018.           | 3,000,000.           | 1,200,000.          |
| <b>f</b> Administrative expenses . . . . .                        | 602,789.         | 445,224.       | 430,119.           | 382,244.             | 406,763.            |
| <b>g</b> End of year balance . . . . .                            | 107,700,017.     | 92,183,785.    | 83,741,968.        | 86,168,197.          | 74,069,004.         |

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

**a** Board designated or quasi-endowment ▶ \_\_\_\_\_ %

**b** Permanent endowment ▶ \_\_\_\_\_ %

**c** Temporarily restricted endowment ▶ 100.0000 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

|                                              | Yes           | No |
|----------------------------------------------|---------------|----|
| <b>(i)</b> unrelated organizations . . . . . | <b>3a(i)</b>  | X  |
| <b>(ii)</b> related organizations . . . . .  | <b>3a(ii)</b> | X  |

**b** If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? . . . . . **3b**

**4** Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property                                                                                            | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------|------------------------------|----------------|
| <b>1a</b> Land . . . . .                                                                                           |                                      |                                 |                              |                |
| <b>b</b> Buildings . . . . .                                                                                       |                                      |                                 |                              |                |
| <b>c</b> Leasehold improvements . . . . .                                                                          |                                      | 7,101,553.                      | 3,350,181.                   | 3,751,372.     |
| <b>d</b> Equipment . . . . .                                                                                       |                                      | 6,650,070.                      | 4,838,732.                   | 1,811,338.     |
| <b>e</b> Other . . . . .                                                                                           |                                      | 438,490.                        | 210,994.                     | 227,496.       |
| <b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . . . |                                      |                                 |                              | 5,790,206.     |

Schedule D (Form 990) 2013

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security)     | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|-----------------------------------------------------------------------------|----------------|--------------------------------------------------------------|
| (1) Financial derivatives . . . . .                                         |                |                                                              |
| (2) Closely-held equity interests . . . . .                                 |                |                                                              |
| (3) Other _____                                                             |                |                                                              |
| (A) LIMITED PARTNERSHIPS                                                    | 16,699,387.    | FMV                                                          |
| (B) _____                                                                   |                |                                                              |
| (C) _____                                                                   |                |                                                              |
| (D) _____                                                                   |                |                                                              |
| (E) _____                                                                   |                |                                                              |
| (F) _____                                                                   |                |                                                              |
| (G) _____                                                                   |                |                                                              |
| (H) _____                                                                   |                |                                                              |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ► | 16,699,387.    |                                                              |

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment                                               | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|-----------------------------------------------------------------------------|----------------|--------------------------------------------------------------|
| (1)                                                                         |                |                                                              |
| (2)                                                                         |                |                                                              |
| (3)                                                                         |                |                                                              |
| (4)                                                                         |                |                                                              |
| (5)                                                                         |                |                                                              |
| (6)                                                                         |                |                                                              |
| (7)                                                                         |                |                                                              |
| (8)                                                                         |                |                                                              |
| (9)                                                                         |                |                                                              |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ► |                |                                                              |

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description                                                                       | (b) Book value |
|---------------------------------------------------------------------------------------|----------------|
| (1)                                                                                   |                |
| (2)                                                                                   |                |
| (3)                                                                                   |                |
| (4)                                                                                   |                |
| (5)                                                                                   |                |
| (6)                                                                                   |                |
| (7)                                                                                   |                |
| (8)                                                                                   |                |
| (9)                                                                                   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ► |                |

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability                                             | (b) Book value |  |
|-----------------------------------------------------------------------------|----------------|--|
| (1) Federal income taxes                                                    |                |  |
| (2) DEFERRED RENT                                                           | 328,647.       |  |
| (3)                                                                         |                |  |
| (4)                                                                         |                |  |
| (5)                                                                         |                |  |
| (6)                                                                         |                |  |
| (7)                                                                         |                |  |
| (8)                                                                         |                |  |
| (9)                                                                         |                |  |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ► | 328,647.       |  |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

|          |                                                                                                |           |             |
|----------|------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Total revenue, gains, and other support per audited financial statements                       | <b>1</b>  | 87,631,437. |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part VIII, line 12:                            |           |             |
| <b>a</b> | Net unrealized gains on investments                                                            | <b>2a</b> | 10,888,663. |
| <b>b</b> | Donated services and use of facilities                                                         | <b>2b</b> |             |
| <b>c</b> | Recoveries of prior year grants                                                                | <b>2c</b> |             |
| <b>d</b> | Other (Describe in Part XIII.)                                                                 | <b>2d</b> | 3,174,653.  |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b>                                                          | <b>2e</b> | 14,063,316. |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b>                                                     | <b>3</b>  | 73,568,121. |
| <b>4</b> | Amounts included on Form 990, Part VIII, line 12, but not on line 1:                           |           |             |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b                               | <b>4a</b> | 646,762.    |
| <b>b</b> | Other (Describe in Part XIII.)                                                                 | <b>4b</b> |             |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b>                                                              | <b>4c</b> | 646,762.    |
| <b>5</b> | Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) | <b>5</b>  | 74,214,883. |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

|          |                                                                                                 |           |             |
|----------|-------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Total expenses and losses per audited financial statements                                      | <b>1</b>  | 69,173,905. |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part IX, line 25:                               |           |             |
| <b>a</b> | Donated services and use of facilities                                                          | <b>2a</b> |             |
| <b>b</b> | Prior year adjustments                                                                          | <b>2b</b> |             |
| <b>c</b> | Other losses                                                                                    | <b>2c</b> |             |
| <b>d</b> | Other (Describe in Part XIII.)                                                                  | <b>2d</b> | 3,174,653.  |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b>                                                           | <b>2e</b> | 3,174,653.  |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b>                                                      | <b>3</b>  | 65,999,252. |
| <b>4</b> | Amounts included on Form 990, Part IX, line 25, but not on line 1:                              |           |             |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b                                | <b>4a</b> | 646,762.    |
| <b>b</b> | Other (Describe in Part XIII.)                                                                  | <b>4b</b> |             |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b>                                                               | <b>4c</b> | 646,762.    |
| <b>5</b> | Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) | <b>5</b>  | 66,646,014. |

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

**Part XIII** Supplemental Information (continued)

PART V, LINE 4:

THE ORGANIZATION INTENDED USES OF ENDOWMENT FUND IS TO PARTIALLY COVER  
GENERAL (UNRESTRICTED) EXPENSES.

PART X, LINE 2:

UNDER ASC 740, "INCOME TAXES", AN ORGANIZATION MUST RECOGNIZE THE TAX  
BENEFIT ASSOCIATED WITH TAX POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN  
IT IS MORE LIKELY THAN NOT THE POSITION WILL BE SUSTAINED UPON  
EXAMINATION BY A TAXING AUTHORITY. HUMAN RIGHTS WATCH, INC. DOES NOT  
BELIEVE IT HAS TAKEN ANY MATERIAL UNCERTAIN TAX POSITIONS AND,  
ACCORDINGLY, IT HAS NOT RECORDED ANY LIABILITY FOR UNRECOGNIZED TAX  
BENEFITS. THE ORGANIZATION HAS FILED FOR AND RECEIVED INCOME TAX  
EXEMPTIONS IN THE JURISDICTIONS WHERE IT IS REQUIRED TO DO SO.  
ADDITIONALLY, THE ORGANIZATION HAS FILED IRS FORM 990 INFORMATION  
RETURNS, AS REQUIRED, AND ALL OTHER APPLICABLE RETURNS IN JURISDICTIONS  
WHERE SO REQUIRED. FOR THE YEAR ENDED JUNE 30, 2014, THERE WAS NO  
INTEREST OR PENALTIES RECORDED OR INCLUDED IN THE STATEMENTS OF  
ACTIVITIES. TAX RETURNS FOR THE YEARS ENDED JUNE 30, 2010 THROUGH 2013  
ARE SUBJECT TO AUDIT BY THE IRS.

PART XI, LINE 2D AND PART XII, LINE 2D:

SPECIAL EVENTS DIRECT EXPENSES

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

HUMAN RIGHTS WATCH, INC.

**Statement of Activities Outside the United States**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Employer identification number

13-2875808

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ **Yes** ☐ **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region                                                  | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in region | (d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for and investments in region |
|-------------------------------------------------------------|-------------------------------------|------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|------------------------------------------------------|
| <b>(1)</b> EUROPE                                           | 9.                                  | 84.                                                                    | PROGRAM SERVICES                                                                                                                            | ADVOCACY/COMM./FUNDR.                                                                              | 14,958,354.                                          |
| <b>(2)</b> EAST ASIA AND THE PACIFIC                        | 1.                                  | 4.                                                                     | FUNDRAISING                                                                                                                                 |                                                                                                    | 1,790,922.                                           |
| <b>(3)</b> SUB-SAHARAN AFRICA                               | 2.                                  | 9.                                                                     | PROGRAM SERVICES                                                                                                                            | PROMOTES HUMAN RIGHTS                                                                              | 1,187,724.                                           |
| <b>(4)</b> MIDDLE EAST AND NORTH AFRICA                     | 2.                                  | 10.                                                                    | PROGRAM SERVICES                                                                                                                            | PROMOTES HUMAN RIGHTS                                                                              | 667,205.                                             |
| <b>(5)</b> NORTH AMERICA                                    | 1.                                  | 5.                                                                     | FUNDRAISING                                                                                                                                 |                                                                                                    | 663,845.                                             |
| <b>(6)</b> RUSSIA/INDEPENDENT STATES                        | 1.                                  | 5.                                                                     | PROGRAM SERVICES                                                                                                                            | PROMOTES HUMAN RIGHTS                                                                              | 535,170.                                             |
| <b>(7)</b> SOUTH AMERICA                                    | 1.                                  | 3.                                                                     | PROGRAM SERVICES                                                                                                                            | PROMOTES HUMAN RIGHTS                                                                              | 214,615.                                             |
| <b>(8)</b> EAST ASIA AND THE PACIFIC                        |                                     |                                                                        | GRANTMAKING                                                                                                                                 |                                                                                                    | 80,500.                                              |
| <b>(9)</b> NORTH AMERICA                                    |                                     |                                                                        | GRANTMAKING                                                                                                                                 |                                                                                                    | 24,000.                                              |
| <b>(10)</b> EUROPE                                          |                                     |                                                                        | GRANTMAKING                                                                                                                                 |                                                                                                    | 20,000.                                              |
| <b>(11)</b> RUSSIA/INDEPENDENT STATES                       |                                     |                                                                        | GRANTMAKING                                                                                                                                 |                                                                                                    | 6,000.                                               |
| <b>(12)</b> SUB-SAHARAN AFRICA                              |                                     |                                                                        | GRANTMAKING                                                                                                                                 |                                                                                                    | 5,000.                                               |
| <b>(13)</b>                                                 |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>(14)</b>                                                 |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>(15)</b>                                                 |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>(16)</b>                                                 |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>(17)</b>                                                 |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>3a</b> Sub-total. . . . .                                | 17.                                 | 120.                                                                   |                                                                                                                                             |                                                                                                    | 20,153,335.                                          |
| <b>b</b> Total from continuation sheets to Part I . . . . . |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                      |
| <b>c Totals</b> (add lines 3a and 3b)                       | 17.                                 | 120.                                                                   |                                                                                                                                             |                                                                                                    | 20,153,335.                                          |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

JSA  
3E1274 1.000

02373D 702V 5/8/2015

9:50:18 AM V 13-7.15

151518-0002

PAGE 34

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| <b>1</b>    | <b>(a)</b> Name of organization | <b>(b)</b> IRS code section and EIN (if applicable) | <b>(c)</b> Region | <b>(d)</b> Purpose of grant | <b>(e)</b> Amount of cash grant | <b>(f)</b> Manner of cash disbursement | <b>(g)</b> Amount of non-cash assistance | <b>(h)</b> Description of non-cash assistance | <b>(i)</b> Method of valuation (book, FMV, appraisal, other) |
|-------------|---------------------------------|-----------------------------------------------------|-------------------|-----------------------------|---------------------------------|----------------------------------------|------------------------------------------|-----------------------------------------------|--------------------------------------------------------------|
| <b>(1)</b>  |                                 |                                                     | EAST ASIA/PACIFIC | HUMAN RIGHTS                | 67,500.                         | CHECK/WIRE                             |                                          |                                               |                                                              |
| <b>(2)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(3)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(4)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(5)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(6)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(7)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(8)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(9)</b>  |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(10)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(11)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(12)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(13)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(14)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(15)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |
| <b>(16)</b> |                                 |                                                     |                   |                             |                                 |                                        |                                          |                                               |                                                              |

- 2** Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . **1.**
- 3** Enter total number of other organizations or entities. . . . . **1.**

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance          | (b) Region               | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|------------------------------------------|--------------------------|--------------------------|--------------------------|---------------------------------|-----------------------------------|----------------------------------------|-------------------------------------------------------|
| (1) ASSISTANCE TO HUMAN RIGHTS ACTIVISTS | NORTH AMERICA            | 4.                       | 24,000.                  | CHECK/WIRE                      |                                   |                                        |                                                       |
| (2) ASSISTANCE TO HUMAN RIGHTS ACTIVISTS | EUROPE/ICELAND/GREENLAND | 4.                       | 20,000.                  | CHECK/WIRE                      |                                   |                                        |                                                       |
| (3) ASSISTANCE TO HUMAN RIGHTS ACTIVISTS | EAST ASIA/PACIFIC        | 5.                       | 13,000.                  | CHECK/WIRE                      |                                   |                                        |                                                       |
| (4) ASSISTANCE TO HUMAN RIGHTS ACTIVISTS | RUSSIA/NEWLY IND. STATES | 1.                       | 6,000.                   | CHECK/WIRE                      |                                   |                                        |                                                       |
| (5)                                      |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (6)                                      |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (7)                                      |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (8)                                      |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (9)                                      |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (10)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (11)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (12)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (13)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (14)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (15)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (16)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (17)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |
| (18)                                     |                          |                          |                          |                                 |                                   |                                        |                                                       |

Schedule F (Form 990) 2013

**Part IV Foreign Forms**

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . . ☐ Yes ☒ No
- 2** Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* . . . . . ☐ Yes ☒ No
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* . . . . . ☐ Yes ☒ No
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . . . . . ☐ Yes ☒ No
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* . . . . . ☐ Yes ☒ No
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)* . . . . . ☒ Yes ☐ No

Schedule F (Form 990) 2013

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

**PART I, LINE 2:**

WE ASSIST HUMAN RIGHTS DEFENDERS WHO FACE SERIOUS THREATS TO THEIR LIFE OR SAFETY AS A RESULT OF THEIR HUMAN RIGHTS ACTIVISM AND CANNOT AFFORD TO TAKE MEASURES TO PROTECT THEMSELVES. WHERE A HUMAN RIGHTS DEFENDER'S WORK WITH HUMAN RIGHTS WATCH HAS PLACED HER IN DANGER, WE FEEL A PARTICULAR RESPONSIBILITY AND WILL GIVE PRIORITY.

WE MAY ALSO ASSIST DIRECT FAMILY MEMBERS OF AFFECTED HUMAN RIGHTS DEFENDERS IF THEY, TOO, HAVE TO FLEE A THREATENING SITUATION. IN ALL CASES, WE WILL REQUIRE THE REQUESTING STAFF MEMBER TO CONFIRM THAT THE DEFENDER IS AT REAL RISK OF REPRISALS BECAUSE OF THEIR HUMAN RIGHTS ACTIVITIES.

REQUESTS NEED TO BE SUBMITTED TO THE FOUNDATIONS UNIT OF THE DEVELOPMENT DEPARTMENT, WITH A BRIEF DESCRIPTION OF THE PERSON IN NEED, HIS/HER WORK AND CIRCUMSTANCES, AND THE AMOUNT THE SAME PERSON WILL NEED AND FOR WHAT PURPOSE.

ONCE A REQUEST IS APPROVED, THE FINANCE DEPARTMENT WILL FACILITATE THE TRANSFER. WE ALSO MAY ASK FOR MORE INFORMATION DESCRIBING THE HUMAN RIGHTS DEFENDER TO ENABLE US TO REPORT BACK TO THE DONORS WHO SUPPORT THIS FUND.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

HUMAN RIGHTS WATCH, INC.

**Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Employer identification number

13-2875808

**Part I**

**Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.  
Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- |                                                                               |                                                                                    |
|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| <b>a</b> <input checked="" type="checkbox"/> Mail solicitations               | <b>e</b> <input checked="" type="checkbox"/> Solicitation of non-government grants |
| <b>b</b> <input checked="" type="checkbox"/> Internet and email solicitations | <b>f</b> <input type="checkbox"/> Solicitation of government grants                |
| <b>c</b> <input type="checkbox"/> Phone solicitations                         | <b>g</b> <input checked="" type="checkbox"/> Special fundraising events            |
| <b>d</b> <input checked="" type="checkbox"/> In-person solicitations          |                                                                                    |

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ **Yes** ☐ **No**
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? |    | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|-----------------------------------------------------------|---------------|----------------------------------------------------------------|----|-----------------------------------|-------------------------------------------------------------------|---------------------------------------------------|
|                                                           |               | Yes                                                            | No |                                   |                                                                   |                                                   |
| <b>1</b><br>SCHULTZ & WILLIAMS, INC.                      | SOLICITING    |                                                                | X  | 2,964,806.                        | 1,665,955.                                                        | 1,298,851.                                        |
| <b>2</b><br>ACR STRATEGIES                                | SOLICITING    |                                                                | X  | 844,175.                          | 163,488.                                                          | 680,687.                                          |
| <b>3</b><br>PUBLIC INTEREST COMMUNICATIONS, INC.          | SOLICITING    |                                                                | X  | 26,853.                           | 19,508.                                                           | 7,345.                                            |
| <b>4</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>5</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>6</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>7</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>8</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>9</b>                                                  |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>10</b>                                                 |               |                                                                |    |                                   |                                                                   |                                                   |
| <b>Total</b> .....                                        |               |                                                                |    | 3,835,834.                        | 1,848,951.                                                        | 1,986,883.                                        |

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, FL, GA, HI, IL,  
KS, KY, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC, ND, OH,  
OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI,

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|                                                                           | (a) Event #1<br><b>DINNER</b><br>(event type) | (b) Event #2<br>(event type) | (c) Other events<br>(total number) | (d) Total events<br>(add col. (a) through<br>col. (c)) |
|---------------------------------------------------------------------------|-----------------------------------------------|------------------------------|------------------------------------|--------------------------------------------------------|
|                                                                           | <b>Revenue</b>                                |                              |                                    |                                                        |
| 1 Gross receipts . . . . .                                                | 15,360,029.                                   |                              |                                    | 15,360,029.                                            |
| 2 Less: Contributions . . . . .                                           | 12,185,376.                                   |                              |                                    | 12,185,376.                                            |
| 3 Gross income (line 1 minus<br>line 2). . . . .                          | 3,174,653.                                    |                              |                                    | 3,174,653.                                             |
| <b>Direct Expenses</b>                                                    |                                               |                              |                                    |                                                        |
| 4 Cash prizes . . . . .                                                   |                                               |                              |                                    |                                                        |
| 5 Noncash prizes . . . . .                                                |                                               |                              |                                    |                                                        |
| 6 Rent/facility costs . . . . .                                           |                                               |                              |                                    |                                                        |
| 7 Food and beverages . . . . .                                            |                                               |                              |                                    |                                                        |
| 8 Entertainment . . . . .                                                 |                                               |                              |                                    |                                                        |
| 9 Other direct expenses . . . . .                                         | 3,174,653.                                    |                              |                                    | 3,174,653.                                             |
| 10 Direct expense summary. Add lines 4 through 9 in column (d) . . . . .  |                                               |                              |                                    | 3,174,653.                                             |
| 11 Net income summary. Subtract line 10 from line 3, column (d) . . . . . |                                               |                              |                                    |                                                        |

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|                                                                                | (a) Bingo                                                           | (b) Pull tabs/instant<br>bingo/progressive bingo                    | (c) Other gaming                                                    | (d) Total gaming (add<br>col. (a) through col. (c)) |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------|
| <b>Revenue</b>                                                                 |                                                                     |                                                                     |                                                                     |                                                     |
| 1 Gross revenue . . . . .                                                      |                                                                     |                                                                     |                                                                     |                                                     |
| <b>Direct Expenses</b>                                                         |                                                                     |                                                                     |                                                                     |                                                     |
| 2 Cash prizes . . . . .                                                        |                                                                     |                                                                     |                                                                     |                                                     |
| 3 Noncash prizes . . . . .                                                     |                                                                     |                                                                     |                                                                     |                                                     |
| 4 Rent/facility costs . . . . .                                                |                                                                     |                                                                     |                                                                     |                                                     |
| 5 Other direct expenses . . . . .                                              |                                                                     |                                                                     |                                                                     |                                                     |
| 6 Volunteer labor . . . . .                                                    | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |                                                     |
| 7 Direct expense summary. Add lines 2 through 5 in column (d) . . . . .        |                                                                     |                                                                     |                                                                     |                                                     |
| 8 Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . . |                                                                     |                                                                     |                                                                     |                                                     |

9 Enter the state(s) in which the organization operates gaming activities: \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: \_\_\_\_\_

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: \_\_\_\_\_

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity operated in:
- |                                      |            |   |
|--------------------------------------|------------|---|
| <b>a</b> The organization's facility | <b>13a</b> | % |
| <b>b</b> An outside facility         | <b>13b</b> | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

- 15 a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_.
- c** If "Yes," enter name and address of the third party:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

**16** Gaming manager information:

Name ► \_\_\_\_\_

Gaming manager compensation ► \$ \_\_\_\_\_

Description of services provided ► \_\_\_\_\_

☐ Director/officer ☐ Employee ☐ Independent contractor

**17** Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV** **Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

- ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.  
▶ Attach to Form 990. ▶ See separate instructions.  
▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

2013

Open to Public  
Inspection

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |                                                                    |                                                                          |
|--------------------------------------------------------------------|--------------------------------------------------------------------------|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |                                                                     |                                                                                     |
|---------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Compensation committee          | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a Receive a severance payment or change-of-control payment?
- b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a The organization?
- b Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a The organization?
- b Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013

Schedule J (Form 990) 2013

Page **2****Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title                                           |      | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported as deferred in prior Form 990 |
|--------------------------------------------------------------|------|----------------------------------------------------|-------------------------------------|-------------------------------------|------------------------------------------------|-------------------------|---------------------------------|---------------------------------------------------------|
|                                                              |      | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |                                                |                         |                                 |                                                         |
| <b>1</b> KENNETH ROTH<br>EXECUTIVE DIRECTOR                  | (i)  | 449,018.                                           | 0                                   | 0                                   | 44,850.                                        | 22,891.                 | 516,759.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>2</b> BARBARA GUGLIELMO<br>ASST. TREAS., ADMIN & FIN DIR  | (i)  | 170,007.                                           | 0                                   | 0                                   | 17,277.                                        | 22,891.                 | 210,175.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>3</b> MICHELE ALEXANDER<br>DEVELOPMENT & OUTREACH DIR.    | (i)  | 273,275.                                           | 0                                   | 0                                   | 27,675.                                        | 22,891.                 | 323,841.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>4</b> IAIN LEVINE<br>PROGRAM DIRECTOR                     | (i)  | 241,364.                                           | 0                                   | 0                                   | 24,059.                                        | 22,891.                 | 288,314.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>5</b> CARROLL BOGERT<br>ASSOCIATE DIRECTOR                | (i)  | 233,701.                                           | 0                                   | 0                                   | 23,301.                                        | 13,156.                 | 270,158.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>6</b> CHARLES LUSTIG<br>DEP. EXEC. DIR. FOR OPERATIONS    | (i)  | 223,101.                                           | 0                                   | 0                                   | 19,056.                                        | 22,891.                 | 265,048.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>7</b> CHRISTINE SQUIRES<br>DEPUTY DIRECTOR, NORTH AMERICA | (i)  | 198,633.                                           | 0                                   | 0                                   | 19,833.                                        | 22,891.                 | 241,357.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>8</b> JOSEPH SAUNDERS<br>DEPUTY PROGRAM DIRECTOR          | (i)  | 189,839.                                           | 0                                   | 0                                   | 19,233.                                        | 22,891.                 | 231,963.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>9</b> JAMES ROSS<br>LEGAL & POLICY COUNSEL                | (i)  | 183,942.                                           | 0                                   | 0                                   | 18,450.                                        | 22,891.                 | 225,283.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>10</b> DINAH POKEMPNER<br>GENERAL COUNSEL                 | (i)  | 176,774.                                           | 0                                   | 0                                   | 17,608.                                        | 7,548.                  | 201,930.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>11</b> TOM P. PORTEOUS<br>DEPUTY PROGRAM DIRECTOR         | (i)  | 176,220.                                           | 0                                   | 0                                   | 17,566.                                        | 7,548.                  | 201,334.                        | 0                                                       |
|                                                              | (ii) | 0                                                  | 0                                   | 0                                   | 0                                              | 0                       | 0                               | 0                                                       |
| <b>12</b>                                                    | (i)  |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
|                                                              | (ii) |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
| <b>13</b>                                                    | (i)  |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
|                                                              | (ii) |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
| <b>14</b>                                                    | (i)  |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
|                                                              | (ii) |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
| <b>15</b>                                                    | (i)  |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
|                                                              | (ii) |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
| <b>16</b>                                                    | (i)  |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |
|                                                              | (ii) |                                                    |                                     |                                     |                                                |                         |                                 |                                                         |

Schedule J (Form 990) 2013

**Part III** Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**SCHEDULE M  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

HUMAN RIGHTS WATCH, INC.

**Noncash Contributions**

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2013**

**Open To Public  
Inspection**

Employer identification number

13-2875808

**Part I Types of Property**

|                                                                              | (a)<br>Check if<br>applicable | (b)<br>Number of contributions or<br>items contributed | (c)<br>Noncash contribution<br>amounts reported on<br>Form 990, Part VIII, line 1g | (d)<br>Method of determining<br>noncash contribution amounts |
|------------------------------------------------------------------------------|-------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------|--------------------------------------------------------------|
| 1 Art - Works of art . . . . .                                               |                               |                                                        |                                                                                    |                                                              |
| 2 Art - Historical treasures . . . . .                                       |                               |                                                        |                                                                                    |                                                              |
| 3 Art - Fractional interests . . . . .                                       |                               |                                                        |                                                                                    |                                                              |
| 4 Books and publications . . . . .                                           |                               |                                                        |                                                                                    |                                                              |
| 5 Clothing and household<br>goods . . . . .                                  |                               |                                                        |                                                                                    |                                                              |
| 6 Cars and other vehicles . . . . .                                          |                               |                                                        |                                                                                    |                                                              |
| 7 Boats and planes . . . . .                                                 |                               |                                                        |                                                                                    |                                                              |
| 8 Intellectual property . . . . .                                            |                               |                                                        |                                                                                    |                                                              |
| 9 Securities - Publicly traded . . . . .                                     | X                             | 72.                                                    | 2,539,087.                                                                         | MARKET QUOTATION                                             |
| 10 Securities - Closely held stock . . . . .                                 |                               |                                                        |                                                                                    |                                                              |
| 11 Securities - Partnership, LLC,<br>or trust interests . . . . .            |                               |                                                        |                                                                                    |                                                              |
| 12 Securities - Miscellaneous . . . . .                                      |                               |                                                        |                                                                                    |                                                              |
| 13 Qualified conservation<br>contribution - Historic<br>structures . . . . . |                               |                                                        |                                                                                    |                                                              |
| 14 Qualified conservation<br>contribution - Other . . . . .                  |                               |                                                        |                                                                                    |                                                              |
| 15 Real estate - Residential . . . . .                                       |                               |                                                        |                                                                                    |                                                              |
| 16 Real estate - Commercial . . . . .                                        |                               |                                                        |                                                                                    |                                                              |
| 17 Real estate - Other . . . . .                                             |                               |                                                        |                                                                                    |                                                              |
| 18 Collectibles . . . . .                                                    |                               |                                                        |                                                                                    |                                                              |
| 19 Food inventory . . . . .                                                  |                               |                                                        |                                                                                    |                                                              |
| 20 Drugs and medical supplies . . . . .                                      |                               |                                                        |                                                                                    |                                                              |
| 21 Taxidermy . . . . .                                                       |                               |                                                        |                                                                                    |                                                              |
| 22 Historical artifacts . . . . .                                            |                               |                                                        |                                                                                    |                                                              |
| 23 Scientific specimens . . . . .                                            |                               |                                                        |                                                                                    |                                                              |
| 24 Archeological artifacts . . . . .                                         |                               |                                                        |                                                                                    |                                                              |
| 25 Other ▶( )                                                                |                               |                                                        |                                                                                    |                                                              |
| 26 Other ▶( )                                                                |                               |                                                        |                                                                                    |                                                              |
| 27 Other ▶( )                                                                |                               |                                                        |                                                                                    |                                                              |
| 28 Other ▶( )                                                                |                               |                                                        |                                                                                    |                                                              |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . **29**

|                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 30 a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . . |     | X  |
| b If "Yes," describe the arrangement in Part II.                                                                                                                                                                                                                                                        |     |    |
| 31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? . . . . .                                                                                                                                                                            |     | X  |
| 32 a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .                                                                                                                                                             |     | X  |
| b If "Yes," describe in Part II.                                                                                                                                                                                                                                                                        |     |    |
| 33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.                                                                                                                                                              |     |    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2013)

JSA

3E1298 1.000

02373D 702V 5/8/2015

9:50:18 AM V 13-7.15

151518-0002

PAGE 45

---

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

---

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

FORM 990, PART III, LINE 4D:

1) MIDDLE EAST & NORTH AFRICA -

EXPENSES: \$4,307,705.

2) UNITED STATES -

EXPENSES: \$3,215,729.

3) WOMEN'S RIGHTS -

EXPENSES: \$2,831,859.

4) HEALTH & HUMAN RIGHTS -

EXPENSES: \$2,435,010.

5) CHILDREN'S RIGHTS -

EXPENSES: \$2,427,423.

6) INTERNATIONAL JUSTICE -

EXPENSES: \$1,686,769.

7) HEALTH & HUMAN RIGHTS -

EXPENSES: \$1,416,540.

8) OTHER PROGRAMS -

EXPENSES: \$16,329,712. GRANTS: \$135,500. REVENUE: \$33,093.

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

FORM 990, PART V, LINE 4B:

BELGIUM, BRAZIL, CANADA, FRANCE, GERMANY, JAPAN, KENYA, KYRGYZSTAN,  
LEBANON, NETHERLANDS, NORWAY, RUSSIA, SWITZERLAND, SOUTH AFRICA, TUNIS,  
UNITED KINGDOM AND AUSTRALIA

FORM 990, PART VI, SECTION A, LINE 2:

BOARD DIRECTOR, JEAN-LOUIS SERVAN-SCHREIBER IS THE FATHER-IN-LAW OF,  
BOARD DIRECTOR, KEVIN RYAN.

FORM 990, PART VI, SECTION B, LINE 11B:

FORM 990 IS REVIEWED BY THE CONTROLLER AND CFO BEFORE IT IS FILED. A  
DRAFT COPY IS ALSO PROVIDED TO THE EXECUTIVE DIRECTOR AND BOARD MEMBERS  
WITH THE OPPORTUNITY TO ASK QUESTIONS.

FORM 990, PART VI, SECTION B, LINE 12C:

HUMAN RIGHTS WATCH, INC. REQUIRES ALL OFFICERS, DIRECTORS AND KEY  
EMPLOYEES TO ANNUALLY CONFIRM THEIR RECEIPT OF THE CONFLICT OF INTEREST  
POLICY AND DISCLOSE ANY NEW ASSOCIATIONS OR INTERESTS THAT MIGHT  
POTENTIALLY POSE A CONFLICT. THE NOMINATING AND GOVERNANCE COMMITTEE OF  
THE BOARD RECEIVES THESE DISCLOSURES AND OTHER QUESTIONS RELATING TO  
CONFLICTS OF INTEREST AND DETERMINES WHETHER AND WHAT ACTION TO TAKE.

FORM 990, PART VI, SECTION B, LINE 15A:

POLICY AND PROCEDURES FOR COMPENSATION AND PERFORMANCE REVIEW OF  
EXECUTIVE DIRECTOR:  
THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS SHALL PERFORM A

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

## PERFORMANCE AND COMPENSATION REVIEW OF HRW'S EXECUTIVE DIRECTOR

BIENNIALLY. THE FOLLOWING PROCEDURE SHALL BE FOLLOWED IN CONDUCTING THIS REVIEW:

1. THE MEMBERS OF THE EXECUTIVE COMMITTEE, OR A MAJORITY OF THE COMMITTEE MEMBERS, SHALL INTERVIEW MEMBERS OF THE HRW COMMUNITY WITH KNOWLEDGE OF THE EXECUTIVE DIRECTOR'S JOB PERFORMANCE, INCLUDING DONORS, PEERS, GOVERNMENT OFFICIALS, AND STAFF MEMBERS REPORTING DIRECTLY TO THE ED.
2. SEVERAL COMMON QUESTIONS SHALL BE ASKED IN EACH INTERVIEW.
3. THE INTERVIEWING COMMITTEE MEMBERS SHALL DISCUSS AND CONDENSE THEIR FINDINGS IN A CONFERENCE CALL. AREAS WHERE IMPROVEMENT MAY BE WARRANTED SHALL BE PRESENTED TO AND DISCUSSED WITH THE FULL EXECUTIVE COMMITTEE.
4. THE EXECUTIVE COMMITTEE SHALL MEET IN EXECUTIVE SESSION WITH THE ED TO ASK FOR HIS/HER IMPRESSION OF HIS/HER OWN JOB PERFORMANCE.
5. THE ED SHALL SUBMIT A WRITTEN SELF-EVALUATION. THE EXECUTIVE COMMITTEE SHALL OBTAIN AND CONSIDER A SURVEY OF SALARIES OF COMPARABLE CEOS OF NGOS OF SIMILAR SIZE AND BUDGET AND WITH A SIMILAR MISSION.
6. THE EXECUTIVE COMMITTEE SHALL MEET IN EXECUTIVE SESSION TO VOTE ON THE ED'S INCREASED LEVEL OF COMPENSATION, IF ANY.
7. WHENEVER A MEMBER OF THE EXECUTIVE COMMITTEE HAS A POTENTIAL CONFLICT OF INTEREST IN THE COMPENSATION OF THE ED, THE CONFLICT SHALL BE DISCLOSED PRIOR TO THE COMMITTEE DISCUSSION OF EXECUTIVE COMPENSATION AND THE MEMBER WITH THE POTENTIAL CONFLICT SHALL RECUSE HIM/HERSELF FROM THE DELIBERATIVE AND VOTING PROCESSES.
8. THE RESULTS OF THE PERFORMANCE AND COMPENSATION REVIEW SHALL BE SHARED WITH THE FULL BOARD.

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

9. THE CHAIRPERSON OF THE BOARD OF DIRECTORS SHALL WRITE A LETTER TO DIRECTOR OF HUMAN RESOURCES CONTAINING THE ED'S PERFORMANCE EVALUATION AND ANOTHER LETTER TO THE FINANCE AND ADMINISTRATION DIRECTOR CONTAINING THE BOARD'S COMPENSATION RECOMMENDATIONS. THESE LETTERS SHALL SERVE AS THE OFFICIAL DOCUMENTATION OF THE COMMITTEE'S DECISION ON THE ED'S LEVEL OF COMPENSATION.

FORM 990, PART VI, SECTION B, LINE 15B:

HUMAN RIGHTS WATCH STRIVES TO MAINTAIN A COMPETITIVE COMPENSATION SYSTEM THAT IS IN THE BEST INTEREST OF BOTH THE ORGANIZATION AND OUR EMPLOYEES TO APPROPRIATELY COMPENSATE OUR WORKFORCE FOR THE VALUE OF THE WORK PROVIDED. IT IS OUR INTENTION TO USE AN OBJECTIVE AND NON-DISCRIMINATORY COMPENSATION SYSTEM BASED ON PERIODICALLY UPDATED MARKET DATA ACROSS MULTIPLE JURISDICTIONS. COMPENSATION IS DETERMINED BASED UPON EXTERNAL AND INTERNAL EQUITY WITHIN THE GIVEN JURISDICTION, CONTINGENT ON AN INCUMBENT'S EDUCATION AND RELEVANT EXPERIENCE; WHILE SALARY DISCUSSIONS WILL OFTEN INCLUDE SUPERVISING DIRECTORS, APPROVAL MAY ONLY BE GRANTED BY THE HUMAN RESOURCES DIRECTOR. SUBSEQUENT SALARY INCREASES ARE BASED UPON AVAILABLE ORGANIZATIONAL RESOURCES, THE CURRENT COST OF LIVING TREND AND THE EMPLOYEE'S PERFORMANCE AS EVALUATED BY THEIR IMMEDIATE SUPERVISOR(S).

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE UPON REQUEST AND ON ITS WEBSITE.

Name of the organization

HUMAN RIGHTS WATCH, INC.

Employer identification number

13-2875808

ATTACHMENT 1FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

HUMAN RIGHTS WATCH, INC. IS A NONPROFIT ORGANIZATION THAT WORKS TO STOP HUMAN RIGHTS ABUSES. CURRENTLY, IT MONITORS AND PROMOTES HUMAN RIGHTS IN OVER 80 COUNTRIES WORLDWIDE. ITS PROGRAM IS DIVIDED INTO FIVE PARTS FOR EACH REGION OF THE WORLD PLUS THE UNITED STATES AND THEMATIC PROGRAMS.

ATTACHMENT 2FORM 990, PART VI, LINE 17 - STATES

AL, AK, AZ, AR, CA, CO, CT,  
 FL, GA, HI, IL, KS, KY, ME, MD, MA, MI,  
 MN, MS, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA,  
 RI, SC, TN, UT, VA, WA, WV, WI,

ATTACHMENT 3990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

| <u>NAME AND ADDRESS</u>                                                              | <u>DESCRIPTION OF SERVICES</u> | <u>COMPENSATION</u> |
|--------------------------------------------------------------------------------------|--------------------------------|---------------------|
| TRISTATE CONSTRUCTION GROUP<br>770 LEXINGTON AVENUE<br>NEW YORK, NY 10065            | CONSTRUCTION                   | 2,513,269.          |
| SCHULTZ & WILLIAMS, INC.<br>325 CHESTNUT STREET, SUITE 700<br>PHILADELPHIA, PA 19106 | PROF. FUNDRAISER               | 1,665,955.          |
| FUSIONSTORM<br>124 GROVE STREET, SUITE 311<br>FRANKLIN, MA 02038                     | NETWORK SOLUTION               | 709,910.            |
| SMART IMS INC.<br>103 MORGAN LANE, SUITE 104<br>PLAINSBORO, NJ 08356                 | NETWORK SOLUTION               | 305,957.            |
| CDW, INC.<br>P.O. BOX 75723                                                          | IT SOLUTIONS                   | 222,644.            |

|                                                             |                                                     |
|-------------------------------------------------------------|-----------------------------------------------------|
| Name of the organization<br><b>HUMAN RIGHTS WATCH, INC.</b> | Employer identification number<br><b>13-2875808</b> |
| <b>ATTACHMENT 3 (CONT'D)</b>                                |                                                     |

**990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS**

| <u>NAME AND ADDRESS</u> | <u>DESCRIPTION OF SERVICES</u> | <u>COMPENSATION</u> |
|-------------------------|--------------------------------|---------------------|
| CHICAGO, IL 60675       |                                |                     |

**ATTACHMENT 4****FORM 990, PART VIII - EXCLUDED CONTRIBUTIONS**

| <u>DESCRIPTION</u>                  | <u>AMOUNT</u>             |
|-------------------------------------|---------------------------|
| ANNUAL DINNER HONORING HUMAN RIGHTS | 12,185,376.               |
| <b>TOTAL</b>                        | <u><u>12,185,376.</u></u> |

**ATTACHMENT 5****FORM 990, PART VIII - FUNDRAISING EVENTS**

| <u>DESCRIPTION</u>                  | <u>GROSS INCOME</u>      | <u>DIRECT EXPENSES</u>   | <u>NET INCOME</u> |
|-------------------------------------|--------------------------|--------------------------|-------------------|
| ANNUAL DINNER HONORING HUMAN RIGHTS | 3,174,653.               | 3,174,653.               |                   |
| <b>TOTALS</b>                       | <u><u>3,174,653.</u></u> | <u><u>3,174,653.</u></u> |                   |

Form **4562**Department of the Treasury  
Internal Revenue Service (99)**Depreciation and Amortization**  
(Including Information on Listed Property)

OMB No. 1545-0172

**2013**Attachment  
Sequence No. **179**

Name(s) shown on return

**HUMAN RIGHTS WATCH, INC.**

Identifying number

**13-2875808**

Business or activity to which this form relates

**GENERAL DEPRECIATION****Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

|    |                                                                                                                                         |                              |                  |
|----|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------|
| 1  | Maximum amount (see instructions)                                                                                                       | 1                            |                  |
| 2  | Total cost of section 179 property placed in service (see instructions)                                                                 | 2                            |                  |
| 3  | Threshold cost of section 179 property before reduction in limitation (see instructions)                                                | 3                            |                  |
| 4  | Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-                                                        | 4                            |                  |
| 5  | Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions | 5                            |                  |
| 6  | (a) Description of property                                                                                                             | (b) Cost (business use only) | (c) Elected cost |
| 7  | Listed property. Enter the amount from line 29                                                                                          | 7                            |                  |
| 8  | Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7                                                    | 8                            |                  |
| 9  | Tentative deduction. Enter the smaller of line 5 or line 8                                                                              | 9                            |                  |
| 10 | Carryover of disallowed deduction from line 13 of your 2012 Form 4562                                                                   | 10                           |                  |
| 11 | Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)                      | 11                           |                  |
| 12 | Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11                                                   | 12                           |                  |
| 13 | Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12                                                             | 13                           |                  |

**Note:** Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)**

|    |                                                                                                                                             |    |            |
|----|---------------------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 |            |
| 15 | Property subject to section 168(f)(1) election                                                                                              | 15 |            |
| 16 | Other depreciation (including ACRS)                                                                                                         | 16 | 1,219,025. |

**Part III MACRS Depreciation (Do not include listed property.) (See instructions.)****Section A**

|    |                                                                                                                                   |    |  |
|----|-----------------------------------------------------------------------------------------------------------------------------------|----|--|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2013                                                  | 17 |  |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here |    |  |

**Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System**

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only - see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|--------------------------------|--------------------------------------|------------------------------------------------------------------------------|---------------------|----------------|------------|----------------------------|
| 19a 3-year property            |                                      |                                                                              |                     |                |            |                            |
| b 5-year property              |                                      |                                                                              |                     |                |            |                            |
| c 7-year property              |                                      |                                                                              |                     |                |            |                            |
| d 10-year property             |                                      |                                                                              |                     |                |            |                            |
| e 15-year property             |                                      |                                                                              |                     |                |            |                            |
| f 20-year property             |                                      |                                                                              |                     |                |            |                            |
| g 25-year property             |                                      |                                                                              | 25 yrs.             |                | S/L        |                            |
| h Residential rental property  |                                      |                                                                              | 27.5 yrs.           | MM             | S/L        |                            |
| i Nonresidential real property |                                      |                                                                              | 39 yrs.             | MM             | S/L        |                            |

**Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System**

|                |  |  |         |    |     |  |
|----------------|--|--|---------|----|-----|--|
| 20a Class life |  |  |         |    | S/L |  |
| b 12-year      |  |  | 12 yrs. |    | S/L |  |
| c 40-year      |  |  | 40 yrs. | MM | S/L |  |

**Part IV Summary (See instructions.)**

|    |                                                                                                                                                                                                              |    |            |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 21 | Listed property. Enter amount from line 28                                                                                                                                                                   | 21 |            |
| 22 | Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instructions | 22 | 1,219,025. |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs                                                                      | 23 |            |

**Part V Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)

**24a** Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

| (a)<br>Type of property (list vehicles first)                                                                                                                                                | (b)<br>Date placed in service | (c)<br>Business/investment use percentage | (d)<br>Cost or other basis | (e)<br>Basis for depreciation (business/investment use only) | (f)<br>Recovery period | (g)<br>Method/Convention | (h)<br>Depreciation deduction | (i)<br>Elected section 179 cost |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------|----------------------------|--------------------------------------------------------------|------------------------|--------------------------|-------------------------------|---------------------------------|
| <b>25</b> Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) . . . . . |                               |                                           |                            |                                                              |                        | <b>25</b>                |                               |                                 |
| <b>26</b> Property used more than 50% in a qualified business use:                                                                                                                           |                               |                                           |                            |                                                              |                        |                          |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        |                          |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        |                          |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        |                          |                               |                                 |
| <b>27</b> Property used 50% or less in a qualified business use:                                                                                                                             |                               |                                           |                            |                                                              |                        |                          |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        | S/L -                    |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        | S/L -                    |                               |                                 |
|                                                                                                                                                                                              |                               | %                                         |                            |                                                              |                        | S/L -                    |                               |                                 |
| <b>28</b> Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1. . . . .                                                                                         |                               |                                           |                            |                                                              |                        | <b>28</b>                |                               |                                 |
| <b>29</b> Add amounts in column (i), line 26. Enter here and on line 7, page 1. . . . .                                                                                                      |                               |                                           |                            |                                                              |                        | <b>29</b>                |                               |                                 |

**Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

|                                                                                                                 | (a)<br>Vehicle 1 | (b)<br>Vehicle 2 | (c)<br>Vehicle 3 | (d)<br>Vehicle 4 | (e)<br>Vehicle 5 | (f)<br>Vehicle 6 |
|-----------------------------------------------------------------------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| <b>30</b> Total business/investment miles driven during the year ( <b>do not</b> include commuting miles) . . . |                  |                  |                  |                  |                  |                  |
| <b>31</b> Total commuting miles driven during the year . . .                                                    |                  |                  |                  |                  |                  |                  |
| <b>32</b> Total other personal (noncommuting) miles driven . . . . .                                            |                  |                  |                  |                  |                  |                  |
| <b>33</b> Total miles driven during the year. Add lines 30 through 32 . . . . .                                 |                  |                  |                  |                  |                  |                  |
| <b>34</b> Was the vehicle available for personal use during off-duty hours? . . . . .                           | Yes No           | Yes No           | Yes No           | Yes No           | Yes No           | Yes No           |
| <b>35</b> Was the vehicle used primarily by a more than 5% owner or related person? . . . . .                   |                  |                  |                  |                  |                  |                  |
| <b>36</b> Is another vehicle available for personal use? . . . . .                                              |                  |                  |                  |                  |                  |                  |

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions).

|                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>37</b> Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? . . . . .                                                                                        |     |    |
| <b>38</b> Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . . . . |     |    |
| <b>39</b> Do you treat all use of vehicles by employees as personal use? . . . . .                                                                                                                                                         |     |    |
| <b>40</b> Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? . . . . .                                                   |     |    |
| <b>41</b> Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.) . . . . .                                                                                                                    |     |    |

**Note:** If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

**Part VI Amortization**

| (a)<br>Description of costs                                                                          | (b)<br>Date amortization begins | (c)<br>Amortizable amount | (d)<br>Code section | (e)<br>Amortization period or percentage | (f)<br>Amortization for this year |
|------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------|---------------------|------------------------------------------|-----------------------------------|
| <b>42</b> Amortization of costs that begins during your 2013 tax year (see instructions):            |                                 |                           |                     |                                          |                                   |
|                                                                                                      |                                 |                           |                     |                                          |                                   |
| <b>43</b> Amortization of costs that began before your 2013 tax year . . . . .                       |                                 |                           |                     |                                          | <b>43</b>                         |
| <b>44</b> <b>Total.</b> Add amounts in column (f). See the instructions for where to report. . . . . |                                 |                           |                     |                                          | <b>44</b>                         |

## DEPRECIATION

---

## AMORTIZATION

\_\_\_\_\_

\*Assets Retired

PAGE 66